

# Introduction to Number Theory

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# What is Number Theory?



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## NT

Number theory is concerned mainly with the study of the properties of the integers

$$\mathbb{Z} = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\},$$

particularly the positive integers  $\mathbb{Z}^+$  or set of natural numbers  $\mathbb{N}$

$$= \{1, 2, 3, \dots\}.$$



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- (i) **Unit:** 1
- (ii) **Prime numbers:** 2, 3, 5, 7, 11, 13, 17, 19, ...
- (iii) **Composite numbers:** 4, 6, 8, 9, 10, 12, 14, 15, ...



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- (iii) **Composite numbers:** 4, 6, 8, 9, 10, 12, 14, 15, ...
  
- (a) **Odd:** 1, 3, 5, 7, 9, 11, ...
- (b) **Even:** 2, 4, 6, 8, 10, ...



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## Example

The natural numbers have been separated into a variety of different types

- **Square:** 1, 4, 9, 16, 25, 36, ...
- **Cube:** 1, 8, 27, 64, 125, ...





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- **Cube:** 1, 8, 27, 64, 125, ...
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- **Perfect:** 6, 28, 496, 8128, ...



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- **Cube:** 1, 8, 27, 64, 125, ...
- **Fibonacci:** 1, 1, 2, 3, 5, 8, 13, 21, ...
- **Perfect:** 6, 28, 496, 8128, ...
- **Triangular:** 1, 3, 6, 10, 15, 21, ...



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# Famous Quotations Related to Number Theory

## Quotation

The great mathematician **Carl Friedrich Gauss** called this subject 'arithmetic' and he said:

*"Mathematics is the queen of sciences and arithmetic the queen of mathematics."*



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## Prof G. H. Hardy

In the 1<sup>st</sup> quotation Prof Hardy is speaking of the famous Indian Mathematician Ramanujan. This is the source of the often made statement that *Ramanujan knew each integer personally*.



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- (i) I remember once going to see him when he was lying ill at Putney. I had ridden in taxi cab number 1729 and remarked that number seemed to me rather dull one and that I hoped it was not an unfavorable omen. "No", he replied *it is a very interesting number; it is the smallest number expressible as the sum of cubes of two integers in two different ways*.
- (ii) Pure mathematics is on the whole distinctly more useful than applied. For what is useful above all is technique and mathematical technique is taught mainly through pure mathematics



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- Hardy was especially concerned that number theory not be used in warfare.
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- He was so proud and so humble.
- Number theory underlies modern cryptography which is what makes secure on-line communication possible.
- Secure communication is of course crucial in war.

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# Motivation

## NT

- Key ideas in number theory include divisibility and the primality of integers.
- Representations of integers, including binary and hexadecimal representations, are part of number theory.
- Number theory has long been studied because of the beauty of its ideas, its accessibility, and its wealth of open questions.
- Mathematicians have long considered number theory to be **pure mathematics**, but it has important applications to **computer science** and **cryptography**.



# Computational Number Theory

## Computational Number Theory

Computational Number Theory := Number Theory  $\oplus$  Computation Theory

↓	↓	↓
Primality Testing	Elementary Number Theory	Computability Theory
Integer Factorization	Algebraic Number Theory	Complexity Theory
Discrete Logarithms	Combinatorial Number Theory	Infeasibility Theory
Elliptic Curves	Analytic Number Theory	Computer Algorithms
Conjecture Verification	Arithmetic Algebraic Geometry	Computer Architectures
Theorem Proving	Probabilistic Number Theory	Quantum Computing
⋮	⋮	⋮



# Outline

- 1 Divisibility and Modular Arithmetic
- 2 Integer Representations and Algorithms
- 3 Primes and Greatest Common Divisors
- 4 Prime Numbers
- 5 Primes Generation



# The Floor & Ceiling of a Real Number

## Definition

- 1 The **floor** or the **greatest integer** function is defined as

$$\lfloor x \rfloor = \max\{n \in \mathbb{Z} : n \leq x\}$$

- 2 The **ceiling** or the **least integer** function is defined as

$$\lceil x \rceil = \min\{n \in \mathbb{Z} : n \geq x\}$$

- 3 The **nearest integer** function is defined as

$$\lfloor x \rceil = \lfloor x + 1/2 \rfloor$$

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# Division

## Definition

If  $a$  &  $b$  are integers with  $a \neq 0$ , then  $a$  **divides**  $b$  if  $\exists$  an integer  $c$  s/t  $b = ac$ .

- When  $a$  divides  $b$  we say that  $a$  is a **factor** or **divisor** of  $b$  and that  $b$  is a **multiple** of  $a$ .
- The notation  $a \mid b$  denotes that  $a$  divides  $b$ .
- If  $a \mid b$ , then  $\frac{b}{a}$  is an integer.
- If  $a$  does not divide  $b$ , we write  $a \nmid b$ .



# Properties of Divisibility

## Theorem

Let  $a, b$ , &  $c$  be integers, where  $a \neq 0$ .

- (i) If  $a \mid b$  and  $a \mid c$ , then  $a \mid (b + c)$ ;
- (ii) If  $a \mid b$ , then  $a \mid bc$  for all integers  $c$ ;
- (iii) If  $a \mid b$  and  $b \mid c$ , then  $a \mid c$ .

## Corollary

If  $a, b$ , &  $c$  are integers, where  $a \neq 0$ , s/t  $a \mid b$  and  $a \mid c$ , then

$$a \mid (mb + nc)$$

whenever  $m$  &  $n$  are integers.



# Division Algorithm

- When an integer is divided by a positive integer, there is a **quotient** and a **remainder**. This is traditionally called the "Division Algorithm", but is really a theorem.

## Theorem

If  $a, d \in \mathbb{Z}$  &  $d > 0$ , then  $\exists ! q \& r \in \mathbb{Z}$  s/t

$$a = q \cdot d + r, \text{ where } 0 \leq r < d.$$

$d$  is called the **divisor**,  $a$  is called the **dividend**,  $q$  is called the **quotient** and  $r$  is called the **remainder**.

- We define **div** and **mod** as  
 $q = a \text{ div } d$  and  $r \equiv a \pmod{d}$



# Congruence Relation

## Definition

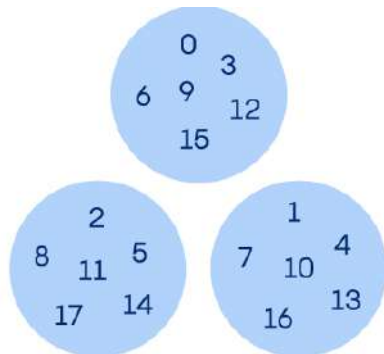
If  $a, b \in \mathbb{Z}$  and  $m$  is a positive integer, then  $a$  is **congruent to  $b$**  modulo  $m$  if  $m \mid (a - b)$ .

- The notation  $a \equiv b \pmod{m}$  says that  $a$  is **congruent to  $b$**  modulo  $m$ .
- We say that  $a \equiv b \pmod{m}$  is a **congruence** and that  $m$  is its **modulus**.
- Two integers are congruent  $\pmod{m}$  iff they have the same remainder when divided by  $m$ .
- If  $a$  is not congruent to  $b$  modulo  $m$ , we write

$$a \not\equiv b \pmod{m}$$

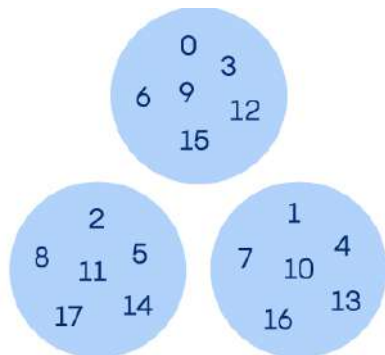
# Congruence Relation

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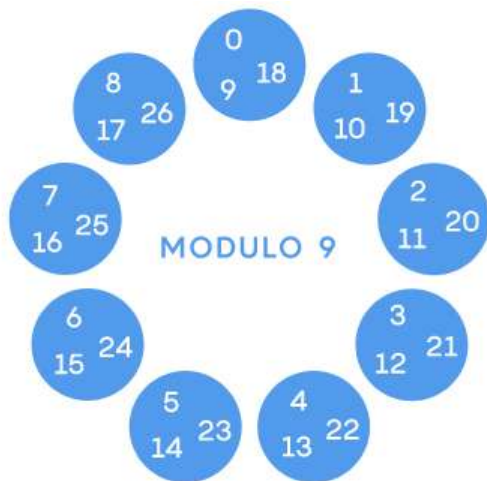


## Exercise

*Find the modulus.*

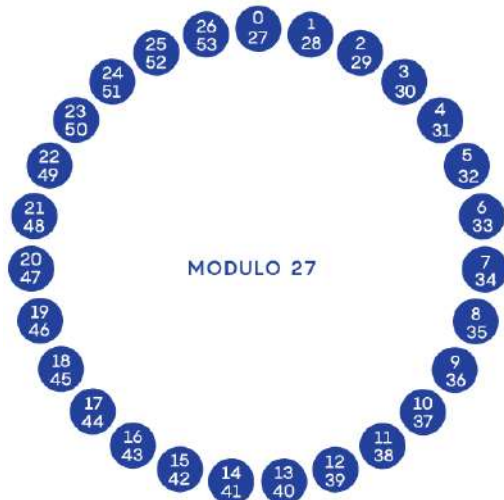
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## Theorem

Let  $m$  be a positive integer. The integers  $a$  &  $b$  are congruent modulo  $m$  iff there is an integer  $k$  s/t  $a = b + km$ .



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## Theorem

Let  $m$  be a positive integer. The integers  $a$  &  $b$  are congruent modulo  $m$  iff there is an integer  $k$  s/t  $a = b + km$ .

## Proof.

- If  $a \equiv b \pmod{m}$ , then (by the definition) we have  $m \mid (a - b)$ . Hence, there is an integer  $k$  s/t  $a - b = km$  and equivalently  $a = b + km$ .
- Conversely, if there is an integer  $k$  s/t  $a = b + km$ , then  $km = a - b$ . Hence,  $m \mid (a - b)$  and  $a \equiv b \pmod{m}$ .





# Congruence Relation

- The use of **mod** in  $a \equiv b \pmod{m}$  and  $a \bmod m = b$  are *different*.
  - $a \equiv b \pmod{m}$  is a relation on the set of integers.
  - In  $a \bmod m = b$ , the notation **mod** denotes a function.
- The relationship between these notations is made clear in the following theorem.

## Theorem

Let  $a$  &  $b$  be integers, and let  $m$  be a positive integer. Then

$$a \equiv b \pmod{m}$$

*iff*

$$a \bmod m = b \bmod m.$$

# Congruences of Sums and Products

## Theorem

Let  $m$  be a positive integer. If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ , then

$$(a + c) \equiv (b + d) \pmod{m} \text{ and } ac \equiv bd \pmod{m}$$



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## Proof.

- $\because a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ , there are integers  $s$  &  $t$  with  $b = a + sm$  and  $d = c + tm$ .
- Therefore,
  - $b + d = (a + sm) + (c + tm) = (a + c) + m(s + t)$  and
  - $bd = (a + sm)(c + tm) = ac + m(at + cs + stm)$ .
- Hence,  $(a + c) \equiv (b + d) \pmod{m}$  and  $ac \equiv bd \pmod{m}$ .



# Algebraic Manipulation of Congruences

- **Multiplying** both sides of a valid congruence by an integer preserves validity.

If  $a \equiv b \pmod{m}$  holds then  $c.a \equiv c.b \pmod{m}$ , where  $c$  is any integer.



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- **Dividing a congruence by an integer does not always produce a valid congruence.**

E.g.,  $6 \equiv 15 \pmod{9}$ ; however,  $\frac{6}{3} \not\equiv \frac{15}{3} \pmod{9}$



# Computing the $\text{mod } m$ Function of Products and Sums

## Corollary

Let  $m$  be a positive integer and let  $a$  &  $b$  be integers. Then

$$(a + b) \text{ mod } m = ((a \text{ mod } m) + (b \text{ mod } m)) \text{ mod } m$$

and

$$ab \text{ mod } m = ((a \text{ mod } m)(b \text{ mod } m)) \text{ mod } m.$$

- Let  $\mathbb{Z}_m = \{0, 1, \dots, m - 1\}$
- The operation  $+_m$  is defined as  $a +_m b = (a + b) \text{ mod } m$ .
- The operation  $\cdot_m$  is defined as  $a \cdot_m b = (a \cdot b) \text{ mod } m$ .
- $(\mathbb{Z}_m, +_m, \cdot_m)$  forms a **commutative ring** for any  $m \in \mathbb{Z}$  and  $m > 0$
- $(\mathbb{Z}_p, +_p, \cdot_p)$  forms a **field** for any prime  $p$





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 to the base 2 – binary
- $(1234)_{10} = (2322)_8 = 2.8^3 + 3.8^2 + 2.8^1 + 2$  to the base 8 – octal
- $(1234)_{10} = (4D2)_{16} = 4.16^2 + D.16^1 + 2.16^0$  to the base 16 – hexadecimal
- $(BAD)_{26} = (679)_{10} = B.26^2 + A.26 + 26^0$



# Revisit

- *Computational complexity theory*



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- **Running time** - the number of basic (or primitive) operations (or steps) taken by an algorithm.
  - The running time of an algorithm usually depends on **the size of the input**.
- **Space complexity** - to measure the amount of **temporary storage** used when performing a computational task.



# Base $b$ Representations

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## Theorem

Let  $b, n \in \mathbb{Z}$  and  $b > 1$ , &  $n > 0$ . Then  $n$  can be expressed uniquely as:

$$n = a_k b^k + a_{k-1} b^{k-1} + \dots + a_1 b + a_0$$

where  $k \in \mathbb{Z}, k \geq 0$  &  $a_0, a_1, \dots, a_k$  are nonnegative integers  $< b$ , and  $a_k \neq 0$ . The  $a_j, j = 0, \dots, k$  are called the base- $b$  digits of the representation.



# Base $b$ Representations

- We can use positive integer  $b$  greater than 1 as a base to represent any number

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- The representation of  $n$  is called the base  $b$  expansion of  $n$  and is denoted by  $(a_k a_{k-1} \dots a_1 a_0)_b$ .



# Representation of a Number

- **Numbers in different bases**



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Any number  $n$ ,  $b^{k-1} \leq n < b^k$  is a  $k$ -digit number to the base  $b$ .



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$$= \lceil \log_b n \rceil + 1.$$





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$$= \lfloor \log_b n \rfloor + 1.$$

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- Number of digits**

$$= \lceil \log_b n \rceil + 1.$$

- Number of bits**

$$= \lceil \log_2 n \rceil + 1 \approx \lceil 1.44 \times \ln n \rceil + 1.$$



# Size of Some Mathematical Objects

## Example

- 1 If  $\mathbf{A} = [\mathbf{a}_{ij}]_{r \times s}$  is a matrix with  $r$  rows,  $s$  columns, where  $\mathbf{a}_{ij} \in \mathbb{Z}_n$ , then the size of  $\mathbf{A}$



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- ② If  $f$  is a polynomial of degree  $d$ , over  $\mathbb{Z}_n$ , then the size of  $f$

$$= (d + 1)(1 + \lceil \log_2 n \rceil) \text{ bits.}$$



# Algorithm: Constructing Base $b$ Expansions

**Result:**  $(a_{k-1} \dots a_1 a_0)_b$  is base  $b$  expansion of  $n$

**procedure** base  $b$  expansion;

$q := n$ ;

$k := 0$ ;

**while**  $q \neq 0$  **do**

$a_k := q \bmod b$ ;

$q \leftarrow q \operatorname{div} b$ ;

$k \leftarrow k + 1$

**end**

**return**  $(a_{k-1} \dots a_1 a_0)$

## Algorithm 1: Base Conversion



# Number of Steps for Doing Arithmetic

**Number of steps required to add 2 integers  $a$  &  $b$**





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**Input:** integers  $a \geq b \geq 0$

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**Algorithm:**

```
while ( $b \neq 0$ ){  
     $a = a ++$   
     $b = b --$   
}  
output  $a$ 
```



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Number of operations



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while ( $b \neq 0$ ){
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```

Number of operations =  $3b + 1$



# Bit Operation for Doing Arithmetic

Number of bit operations required to add 2  $k$ -bit integers  $n$  &  $m$



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Number of bit operations required to add 2  $k$ -bit integers  $n$  &  $m$

- i. Look at the top and bottom bit and also at whether there's a carry above the top bit.
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$\text{Time}(n + m) = k\text{-bit operations.}$



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$\text{Time}(n + m) = k\text{-bit operations.}$



# Algorithm: Addition of Integers

Number of bit operations required to add 2  $k$ -bit integers  $n$  &  $m$

**Input:**  $n = n_k n_{k-1} \cdots n_2 n_1$  &  $m = m_k m_{k-1} \cdots m_2 m_1$

**Output:**  $n + m$  in binary.

**Algorithm:**  $c \leftarrow 0$

```

for( $i = 1$  to  $k$ ){
  if  $sum(n_i, m_i, c) = 1$  or  $3$ 
    then  $d_i \leftarrow 1$ 
    else  $d_i \leftarrow 0$ 

  if  $sum(n_i, m_i, c) \geq 2$ 
    then  $c \leftarrow 1$ 
    else  $c \leftarrow 0$ }

if  $c = 1$  then output  $1d_k d_{k-1} \cdots d_2 d_1$ 
else output  $d_k d_{k-1} \cdots d_2 d_1$ .

```



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  - iii. suppose there are  $\ell' \leq \ell$  rows.
  - iv. multiplication task can be broken down into  $\ell' - 1$  additions
  - v. moving down from the  $2^{\text{nd}}$  row to the  $\ell^{\text{th}}$  row, adding each new row to the partial sum of all of the earlier rows
  - vi. each addition takes at most  $k$ -bit operations
  - vii. total number of bit operations is at most  $\ell \times k$ .



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Time( $n \times m$ )  $<$   $k\ell$ -bit operations.



# Bit Operation for Doing Arithmetic

- Number of bit operations required to multiply two  $n$ -bit integers  $x$  &  $y$



# Bit Operation for Doing Arithmetic

- Number of bit operations required to multiply two  $n$ -bit integers  $x$  &  $y$
- Let  $n = 2t$ . Then

$$x = 2^t x_1 + x_0 \text{ \& } y = 2^t y_1 + y_0$$



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$$x \cdot y = u_2 \cdot 2^{2t} + u_1 \cdot 2^t + u_0$$





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$$x \cdot y = u_2 \cdot 2^{2t} + u_1 \cdot 2^t + u_0$$

where  $u_0 = x_0 \cdot y_0$ ,  $u_2 = x_1 \cdot y_1$  &  $u_1 = (x_0 + x_1) \cdot (y_0 + y_1) - u_0 - u_2$ .



# Bit Operation for Modular Exponentiation

## Exercise

Compute  $3^{37} \pmod{53}$



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## Solution

- *Binary representation of  $37 = 32 + 4 + 1 = 100101$*

# Bit Operation for Modular Exponentiation

## Exercise

Compute  $3^{37} \pmod{53}$

## Solution

- Binary representation of  $37 = 32 + 4 + 1 = 100101$
- First we repeatedly square  $3 \pmod{53}$  until we have worked out  $3^{2^k}$  for every  $k$  s/t  $2^k \leq 37$ .
- We get  
 $3^2 = 9, 3^4 = 9^2 = 81 \equiv 28, 3^8 \equiv 28^2 =$

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- We get  
 $3^2 = 9, 3^4 = 9^2 = 81 \equiv 28, 3^8 \equiv 28^2 = 784 \equiv -11 (\because 15 \times 53 = 795),$   
 $3^{16} \equiv 121 \equiv 15, 3^{32} \equiv 225 \equiv 13.$
- Therefore,  
 $3^{37} \equiv 13 \times 28 \times 3 = 13 \times 84 \equiv 13 \times 31 = 403 \equiv 32.$

# Bit Operation for Modular Exponentiation

- Find  $b^n \pmod m$  efficiently, where  $b, n$ , &  $m$  are large integers.



# Bit Operation for Modular Exponentiation

- Find  $b^n \bmod m$  efficiently, where  $b, n$ , &  $m$  are large integers.
- We use the binary expansion of  $n = (a_{k-1}, \dots, a_1, a_0)_2$ , to compute  $b^n$ .



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$$b^n = (b)^{a_{k-1}2^{k-1} + \dots + a_1 2 + a_0} = (b)^{a_{k-1} \cdot 2^{k-1}} \dots (b)^{a_1 \cdot 2} \cdot (b)^{a_0}$$





# Bit Operation for Modular Exponentiation

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$$b^n = (b)^{a_{k-1}2^{k-1} + \dots + a_12 + a_0} = (b)^{a_{k-1} \cdot 2^{k-1}} \dots (b)^{a_1 \cdot 2} \cdot (b)^{a_0}$$

- Therefore, to compute  $b^n$ , we need only compute the values of

$$b, b^2, (b^2)^2 = b^4, (b^4)^2 = b^8, \dots, (b)^{2^{k-1}}$$

and the multiply the terms  $b^{2^j}$  in this list, where  $a_j = 1$ .



# Bit Operation for Modular Exponentiation

```

procedure modular exponentiation  $b^n \pmod m$ ;
 $x := 1$ ;
 $power := b \pmod m$ ;
for  $i := 0$  to  $k - 1$  do
  | if  $a_i = 1$  then
  | |  $x \leftarrow (x \cdot power) \pmod m$ 
  | end
  |  $power \leftarrow (power \cdot power) \pmod m$ 
end
return  $x$  { $x \equiv b^n \pmod m$ }

```

**Algorithm 2: Modular Exponentiation**



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```

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## Algorithm 3: Modular Exponentiation

Computational Complexity to compute



# Bit Operation for Modular Exponentiation

```

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## Algorithm 4: Modular Exponentiation

Computational Complexity to compute  $b^n \pmod m = O((\log m)^2 \log n)$



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An upper bound for the number of bit operations required to compute  $n!$ .

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- i. At the  $(j - 1)^{th}$  step ( $j = 2, 3, \dots, n - 1$ ), you are multiplying  $j!$  by  $j + 1$ .
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- iii. Product of  $n$   $k$ -bit integers will have at most  $nk$  bits.



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$$\text{Time(to compute } n!) \leq n^2(\ln n)^2.$$

# Big- $O$



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## Definition

Let  $f, g : \mathbb{N} \rightarrow \mathbb{R}$ ,  $g(x) > 0 \forall x \geq a$ , where  $a \in \mathbb{N}$ . Then  $f = O(g)$  means that  $\frac{f(x)}{g(x)}$  is bounded  $\forall x \geq a$ , i.e.,  $\exists$  a constant  $M > 0$  such that

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## Example

Let  $f(n) = 2.n^3 + 3.n^2 + 4.n + 5$  &  $g(n) = n^3$ .

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Let  $f(n) = 2.n^3 + 3.n^2 + 4.n + 5$  &  $g(n) = n^3$ .

Then  $f = O(g)$ , for take  $a = 5$ ,  $M = 3$ .

The notation **Big  $O$**  represents an upper bound of the computational complexity of an algorithm in the **worst-case scenario**.

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2  $n^2 = O(e^{n^2})$

3  $e^{-n} = O(n^2)$





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- 3  $e^{-n} = O(n^2)$
- 4  $f(n) (= a_0 + a_1 n + \dots + a_d n^d) = O(n^d)$
- 5  $\ln n = O(n^\delta)$  for any  $\delta \in \mathbb{R}^+$



# Small- $o$



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## Definition

Let  $f$  and  $g$  be 2 +ve real valued functions such that

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \rightarrow 0.$$

Then we say that  $f = o(g)$ ,  $\Rightarrow f(n) \ll g(n)$  when  $n$  is large.

- A function  $f$  is **negligible** if  $f = o(1/g)$  for any polynomial  $g(n) = n^c$



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- The notation  $g = \Omega(f)$  means exactly the same thing as  $f = O(g)$ .



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- The notation  $g = \Omega(f)$  means exactly the same thing as  $f = O(g)$ .
- If  $f = O(g)$  and  $f = \Omega(g)$  then we use the notation  $f = \Theta(g) \Rightarrow C_1.g(n) \leq f(n) \leq C_2.g(n)$  for  $n \geq n_0, C_i \in \mathbb{R}^+$ .



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Then we say that  $f = o(g)$ ,  $\Rightarrow f(n) \ll g(n)$  when  $n$  is large.

- A function  $f$  is **negligible** if  $f = o(1/g)$  for any polynomial  $g(n) = n^c$
- The notation  $g = \Omega(f)$  means exactly the same thing as  $f = O(g)$ .
- If  $f = O(g)$  and  $f = \Omega(g)$  then we use the notation  $f = \Theta(g) \Rightarrow C_1 \cdot g(n) \leq f(n) \leq C_2 \cdot g(n)$  for  $n \geq n_0, C_i \in \mathbb{R}^+$ .



# From Polynomial to Exponential Time

## Definition

- 1 **Polynomial time algorithm:** computational complexity is  $O(n^k)$ , where  $n$  is the size of the input in bits and  $k \in \mathbb{R}^+$ .



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- 3 **Subexponential time algorithm:** computational complexity for input  $q \in \mathbb{N}^a$  is

$$L_q(\alpha, c) = O(e^{(c+o(1))(\ln q)^\alpha (\ln \ln q)^{1-\alpha}}),$$

where  $\alpha \in \mathbb{R}$ ,  $0 < \alpha < 1$  and  $c$  is a positive constant.

<sup>a</sup>Note that  $q$  is the input to the algorithm and not the size of the input.

# Outline

- 1 Divisibility and Modular Arithmetic
- 2 Integer Representations and Algorithms
- 3 Primes and Greatest Common Divisors**
- 4 Prime Numbers
- 5 Primes Generation



# Primes

## Definition

A positive integer  $p > 1$  is called **prime** if the only positive divisor of  $p$  are  $1$  and  $p$ .

A positive integer  $n > 1$  and is not prime is called **composite**.



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Let  $p$  be a prime number, and suppose that  $p \mid ab$ . Then either  $p \mid a$  or  $p \mid b$  (or  $p$  divides both  $a$  and  $b$ ).



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## Theorem

Let  $p$  be a prime number, and suppose that  $p \mid a_1 a_2 \dots a_r$ . Then  $p$  divides at least one of the factors  $a_1, a_2, \dots, a_r$ .

# The Fundamental Theorem of Arithmetic

## Theorem (The Fundamental Theorem of Arithmetic)

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*Every nonzero integer  $n$  can be expressed as a product of the form*

$$n = \pm p_1^{e_1} p_2^{e_2} \cdots p_k^{e_k}$$

*where the  $p_i$ 's are  $k$  distinct primes and the  $e_i$ 's are integers with  $e_i > 0$ . This representation is **unique** up to the order in which the factors are written<sup>a</sup>.*

---

<sup>a</sup>If we decide that 1 should be considered to be a prime, the uniqueness of this decomposition into primes would no longer hold!



# The Fundamental Theorem of Arithmetic



# The Fundamental Theorem of Arithmetic

## Example

- $100 = 2.2.5.5 = 2^2.5^2$
- $641 = 641$
- $999 = 3.3.3.37 = 3^3.37$
- $1024 = 2.2.2.2.2.2.2.2.2.2 = 2^{10}$
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If  $n$  is not itself prime, then there must be a prime  $p \leq \sqrt{n}$  that divides  $n$ .



# The Fundamental Theorem of Arithmetic

## Problem

- 1 How can we tell if a given number  $n$  is prime or composite?
- 2 If  $n$  is composite, how can we factor it into primes?



# Revisit – Greatest Common Divisor (GCD)

## Definition

Given  $a, b \in \mathbb{Z}$ ,  $a \& b \neq 0$ , the *greatest common divisor*  $a \& b$ , denoted  $\gcd(a, b)$ , is the positive common divisor of  $a \& b$ , that is divisible by each of their common divisors. In other words, the largest integer  $d$  s/t  $d \mid a \& d \mid b$ .

## Definition

The integers  $a$  and  $b$  are *relatively prime* if  $\gcd(a, b) = 1$ .

## Definition

The integers  $a_1, a_2, \dots, a_n$  are *pairwise relatively prime* if  $\gcd(a_i, a_j) = 1$  whenever  $1 \leq i < j \leq n$ .



# Revisit – GCD

- Suppose that the prime factorizations of the positive integers  $a$  &  $b$  are

$$a = p_1^{a_1} p_2^{a_2} \cdots p_n^{a_n}, \quad b = p_1^{b_1} p_2^{b_2} \cdots p_n^{b_n},$$

where each exponent is a nonnegative integer. Then

$$\gcd(a, b) = p_1^{\min(a_1, b_1)} p_2^{\min(a_2, b_2)} \cdots p_n^{\min(a_n, b_n)}$$



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- Finding the gcd of two positive integers using their prime factorizations is not efficient because **there is no efficient algorithm for finding the prime factorization of a positive integer.**





# Finding the Least Common Multiple (LCM)

## Definition

The least common multiple of the positive integers  $a$  &  $b$  is the smallest positive integer that is divisible by both  $a$  &  $b$ . It is denoted by  $\text{lcm}(a, b)$ .

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## Theorem

Let  $a$  &  $b$  be positive integers. Then

$$ab = \gcd(a, b) \times lcm(a, b)$$

# Revisit – GCD

## Theorem

- (i)  $\gcd(a, b) = \gcd(b, a)$ .
- (ii)  $\gcd(a, a) = a$ .
- (iii)  $\gcd(a, b) = \gcd(a - b, b)$
- (iv)  $\gcd(0, a) = a$ .



# Euclidean Algorithm

Euclidean algorithm for computing the  $\gcd(a, b)$

**Input:** 2 non-negative integers  $a$  &  $b$ , with  $a \geq b$ .

**Output:**  $\gcd(a, b)$

- 1 While  $(b \neq 0)$  do
  - 1.1 Set  $r \leftarrow a \bmod b$ ,  
 $a \leftarrow b, b \leftarrow r$ .
- 2 Return( $a$ )



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$\gcd(4864, 3458)$

$$4864 = 1 \cdot 3458 + 1406$$

$$3458 = 2 \cdot 1406 + 646$$

$$1406 = 2 \cdot 646 + 114$$

$$646 = 5 \cdot 114 + 76$$

$$114 = 1 \cdot 76 + 38$$

$$76 = 2 \cdot 38 + 0.$$



# Correctness of Euclidean Algorithm

## Lemma

Let  $a = bq + r$ , where  $a, b, q$ , &  $r \in \mathbb{Z}$  and  $r \geq 0$ . Then  $\gcd(a, b) = \gcd(b, r)$ .



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## Proof.

- Suppose that  $d \mid a$  and  $d \mid b$ . Then  $d$  also divides  $a - bq = r$ . Hence, any common divisor of  $a$  &  $b$  must also be any common divisor of  $b$  &  $r$ .
- Suppose that  $d \mid b$  and  $d \mid r$ . Then  $d \mid (bq + r) = a$ . Hence, any common divisor of  $a$  &  $b$  must also be a common divisor of  $b$  &  $r$ .
- Therefore,  $\gcd(a, b) = \gcd(b, r)$ .





# GCDs as Linear Combinations

## Bézout's Lemma

$\forall a, b \in \mathbb{Z}, \exists s, t \in \mathbb{Z}$  s/t  $\gcd(a, b) = s.a + t.b$

## Definition

If  $a$  &  $b$  are positive integers, then integers  $s$  &  $t$  s/t  $\gcd(a, b) = sa + tb$  are called **Bézout coefficients** of  $a$  &  $b$ . The equation  $\gcd(a, b) = sa + tb$  is called **Bézout's identity**.



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- By Bézout's lemma, the  $\gcd(a, b)$  can be expressed in the form  $sa + tb$  where  $s, t \in \mathbb{Z}$ . This is a linear combination with integer coefficients of  $a$  &  $b$ .



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- By Bézout's lemma, the  $\gcd(a, b)$  can be expressed in the form  $sa + tb$  where  $s, t \in \mathbb{Z}$ . This is a linear combination with integer coefficients of  $a$  &  $b$ .
- The smallest positive value of  $sa + tb = \gcd(a, b)$



# Extended Euclidean Algorithm

## Extended Euclidean algorithm

**Input:** 2 non-negative integers  $a$  &  $b$ , with  $a \geq b$ .

**Output:**  $d = \gcd(a, b)$  &  $x, y \in \mathbb{Z}$  s/t  $ax + by = d$ .

- 1 If  $b = 0$  then set  $d \leftarrow a$ ,  $x \leftarrow 1$ ,  $y \leftarrow 0$ , and *return*( $d, x, y$ ).
- 2 Set  $x_2 \leftarrow 1$ ,  $x_1 \leftarrow 0$ ,  $y_2 \leftarrow 0$ ,  $y_1 \leftarrow 1$ .
- 3 While ( $b > 0$ ) do
  - 3.1  $q \leftarrow \lfloor a/b \rfloor$ ,  $r \leftarrow a - qb$ ,  
 $x \leftarrow x_2 - qx_1$ ,  $y \leftarrow y_2 - qy_1$ .
  - 3.2  $a \leftarrow b$ ,  $b \leftarrow r$ ,  $x_2 \leftarrow x_1$ ,  
 $x_1 \leftarrow x$ ,  $y_2 \leftarrow y_1$ , and  $y_1 \leftarrow y$ .
- 4 Set  $d \leftarrow a$ ,  $x \leftarrow x_2$ ,  $y \leftarrow y_2$ , and *return*( $d, x, y$ ).



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$$a = 4864, b = 3458$$



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$$a = 4864, b = 3458$$

$q$	$r$	$x$	$y$	$a$	$b$	$x_2$	$x_1$	$y_2$	$y_1$
-	-	-	-	4864	3458	1	0	0	1
1	1406	1	-1	3458	1406	0	1	1	-1
2	646	-2	3	1406	646	1	-2	-1	3
2	114	5	-7	646	114	-2	5	3	-7
5	76	-27	38	114	76	5	-27	-7	38
1	38	32	-45	76	38	-27	32	38	-45
2	0	-91	128	38	0	32	-91	-45	128

$$38 = 32 \cdot 4864 - 45 \cdot 3458$$



# Consequences of Bézout's Theorem

## Lemma

If  $a, b, c \in \mathbb{N}$  s/t  $\gcd(a, b) = 1$  and  $a \mid bc$ , then  $a \mid c$ .

## Lemma

If  $p$  is prime and  $p \mid a_1 a_2 \dots a_n$ , then  $p \mid a_i$  for some  $i$ .

## Theorem

Let  $m$  be a positive integer and let  $a, b, c \in \mathbb{Z}$ . If  $ac \equiv bc \pmod{m}$  and  $\gcd(c, m) = 1$ , then  $a \equiv b \pmod{m}$ .



# Revisit – Congruences

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- $6 \times 4 \equiv 0 \pmod{12}$ , however,  $6 \not\equiv 0 \pmod{12}$  and  $4 \not\equiv 0 \pmod{12}$ .
- If  $\gcd(c, m) = 1$ , then we can cancel  $c$  from  $ac \equiv bc \pmod{m}$ .



# Revisit – Congruences

- Solve  $x^2 + 2x - 1 \equiv 0 \pmod{7}$



# Revisit – Congruences

- Solve  $x^2 + 2x - 1 \equiv 0 \pmod{7}$   
 $x \equiv 2 \pmod{7}$  and  $x \equiv 3 \pmod{7}$  are the two solutions
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 The congruence has **no solutions**.

## Theorem

Let  $a, c$ , and  $m$  be integers with  $m \geq 1$ , and let  $g = \gcd(a, m)$ .

- (i) If  $g \nmid c$ , then the congruence  $ax \equiv c \pmod{m}$  has no solutions.
- (ii) If  $g \mid c$ , then the congruence  $ax \equiv c \pmod{m}$  has exactly  $g$  incongruent solutions.





# Revisit – Linear Congruences

## Definition

A congruence of the form

$$ax \equiv b \pmod{m},$$

where  $m \in \mathbb{N}$ ,  $a$  &  $b \in \mathbb{Z}$ , and  $x$  is a variable, is called a *linear congruence*.



# Revisit – Linear Congruences

- One method of solving linear congruences is by finding the inverse  $\bar{a} \pmod{m}$ , if it exists.
- Although we can not divide both sides of the congruence by  $a$ , we can multiply by  $\bar{a}$  to solve for  $x$ .



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## Theorem

*If  $a$  &  $m$  are relatively prime integers and  $m > 1$ , then an inverse of  $a$  modulo  $m$  exists. Furthermore, **this inverse is unique modulo  $m$ .***



# Revisit – Linear Congruences

## Theorem

Let  $a, m \in \mathbb{Z}$  with  $m > 0$ , and let  $d := \gcd(a, m)$ .

- 1 For every  $b \in \mathbb{Z}$ , the congruence  $ax \equiv b \pmod{m}$  has a solution iff  $d \mid b$ .
- 2 For every  $x \in \mathbb{Z}$ , we have  $ax \equiv 0 \pmod{m}$  iff  $x \equiv 0 \pmod{\frac{m}{d}}$ .
- 3 For all  $x, x' \in \mathbb{Z}$ , we have  $ax \equiv ax' \pmod{m}$  iff  $x \equiv x' \pmod{\frac{m}{d}}$ .



# Revisit – Linear Congruences

## Example

In the following table is an illustration for  $m = 15$  and  $a = 1, 2, 3, 4, 5$ .

1.x	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
2.x	0	2	4	6	8	10	12	14	1	3	5	7	9	11	13
3.x	0	3	6	9	12	0	3	6	9	12	0	3	6	9	12
4.x	0	4	8	12	1	5	9	13	2	6	10	14	3	7	11
5.x	0	5	10	0	5	10	0	5	10	0	5	10	0	5	10



# Revisit – Congruences

## Theorem

Let  $p$  be a prime number and let

$$f(x) = a_0x^d + a_1x^{d-1} + \cdots + a_d$$

be a polynomial of degree  $d \geq 1$  with integer coefficients and with  $p \nmid a_0$ .

Then the congruence

$$f(x) \equiv 0 \pmod{p}$$

has at most  $d$  incongruent solutions.

# Fermat's Little Theorem



# Fermat's Little Theorem

- Take a non-zero number  $a \in \mathbb{Z}_m$  and compute its powers  $a, a^2, a^3, \dots, a^m \pmod m$ .





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$a$	$a^2$	$a^3$	$a^4$	$a^5$	$a^6$
1	1	1	1	1	1
2	4	2	4	2	4
3	3	3	3	3	3
4	4	4	4	4	4
5	1	5	1	5	1



# Fermat's Little Theorem

- Take a non-zero number  $a \in \mathbb{Z}_m$  and compute its powers  $a, a^2, a^3, \dots, a^m \pmod m$ .

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$$6^{22} - 1 = 23 \times 5722682775750745.$$

$$2^{35} \pmod 7 \equiv 32 \equiv 4 \pmod 7.$$



# Fermat's Little Theorem

## Lemma

Let  $p$  be a prime number and let  $a$  be a number s/t  $a \not\equiv 0 \pmod{p}$ . Then the numbers

$$a, 2a, 3a, \dots, (p-1)a \pmod{p}$$

are the same as the numbers

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although they may be in a different order.



# Fermat's Little Theorem

## Theorem

Let  $p$  be a prime number, and let  $a$  be any number s/t  $a \not\equiv 0 \pmod{p}$ .  
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- Consider the number  $m = 10^{100} + 37$ . Verify  $2^{m-1} \not\equiv 1 \pmod{m}$ .



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- **Claim:**  $\nexists x$  if  $\gcd(a, m) > 1$ .





# Euler's Generalization

- The number of integers between 1 and  $m$  that are relatively prime to  $m$  is denoted by  $\phi(m)$  and is defined by

$$\phi(m) = \#\{a : 1 \leq a \leq m \text{ and } \gcd(a, m) = 1\}.$$

$$\phi(m) = \sum_{\substack{k=1 \\ \gcd(k,m)=1}}^m 1$$

The function  $\phi(\cdot)$  is called **Euler's phi function**.



# Euler's Generalization

## Lemma

Let

$$1 \leq b_1 < b_2 < \cdots < b_{\phi(m)} < m.$$

be the  $\phi(m)$  numbers between 0 and  $m$  that are relatively prime to  $m$ . If  $\gcd(a, m) = 1$ , then the numbers

$$b_1 a, b_2 a, b_3 a, \dots, b_{\phi(m)} a \pmod{m}$$

are the same as the numbers

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# Euler's phi Function

## Properties of Euler's phi function

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## Example

Compute

(i)  $\phi(2401) =$

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- (i)  $\phi(2401) = \phi(7^4) = (7^4 - 7^3) = 2058$
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# Euler's phi Function

## Properties of Euler's phi function

- iii. The Euler phi function is **multiplicative**. That is, if  $\gcd(m, n) = 1$ , then  $\phi(mn) = \phi(m)\phi(n)$ .



# Euler's phi Function

## Properties of Euler's phi function

iii. The Euler phi function is **multiplicative**. That is, if  $\gcd(m, n) = 1$ , then  $\phi(mn) = \phi(m)\phi(n)$ .

- Let  $S = \{a : 1 \leq a \leq mn \text{ and } \gcd(a, mn) = 1\}$ .
- Let

$$T = \left\{ (b, c) : \begin{array}{l} 1 \leq b \leq m \text{ and } \gcd(b, m) = 1 \\ 1 \leq c \leq n \text{ and } \gcd(c, n) = 1 \end{array} \right\}$$



# Euler's phi Function

## Properties of Euler's phi function

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$$a \pmod{mn} \mapsto (a \pmod{m}, a \pmod{n})$$



# Euler's phi Function

- 1 To prove different numbers in  $S$  map to different pairs in  $T$ .
- 2 Every pair in  $T$  maps to some number in  $S$ .



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## Theorem (Chinese Remainder Theorem (CRT))

Let  $m$  and  $n$  be integers satisfying  $\gcd(m, n) = 1$ , and let  $b$  and  $c$  be any integers. Then the simultaneous congruences

$$x \equiv b \pmod{m} \quad \text{and} \quad x \equiv c \pmod{n}$$

have ! solution in  $0 \leq x < mn$ .



# Chinese Remainder Theorem

## Example

Solve

$$x \equiv 8 \pmod{11} \quad \text{and} \quad x \equiv 3 \pmod{19}.$$



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# Chinese Remainder Theorem

- In the first century, the Chinese mathematician Sun-Tzu asked:  
*There are certain things whose number is unknown. When divided by 3, the remainder is 2; when divided by 5, the remainder is 3; when divided by 7, the remainder is 2. What will be the number of things?*





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- This puzzle can be translated into the solution of the system of congruences:

$$\begin{aligned}x &\equiv 2 \pmod{3}, \\x &\equiv 3 \pmod{5}, \\x &\equiv 2 \pmod{7}?\end{aligned}$$

- Now, we'll see how the Chinese Remainder Theorem can be used to solve Sun-Tzu's problem.



# Chinese Remainder Theorem

## Theorem (CRT)

If the integers  $n_1, n_2, \dots, n_k$  are pairwise relatively prime, then the system of simultaneous congruences

$$x \equiv a_i \pmod{n_i},$$

for  $1 \leq i \leq k$  has a ! solution modulo  $n = n_1 n_2 \cdots n_k$  which is given by

# Chinese Remainder Theorem

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$$x = \sum_{i=1}^k a_i N_i M_i \pmod{n},$$

where  $N_i = n/n_i$  &  $M_i = N_i^{-1} \pmod{n_i}$ .

# Chinese Remainder Theorem

## Example

Consider the 3 congruences from Sun-Tzu's problem:

$$x \equiv 2 \pmod{3}, \quad x \equiv 3 \pmod{5}, \quad x \equiv 2 \pmod{7}.$$

- $n = 3 \cdot 5 \cdot 7 = 105$ ,  $N_1 = n/3 = 35$ ,  $N_2 = 21$ , &  $N_3 = 15$



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# Euler's phi Function

## Properties of Euler's phi function

iv. If  $n = p_1^{e_1} p_2^{e_2} \cdots p_k^{e_k}$ , is the prime factorization of  $n$ , then

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$$\begin{aligned}\phi(n) &= (p_1^{e_1} - p_1^{e_1-1})(p_2^{e_2} - p_2^{e_2-1}) \cdots (p_k^{e_k} - p_k^{e_k-1}) \\ &= n \left(1 - \frac{1}{p_1}\right) \left(1 - \frac{1}{p_2}\right) \cdots \left(1 - \frac{1}{p_k}\right).\end{aligned}$$



# Outline

- 1 Divisibility and Modular Arithmetic
- 2 Integer Representations and Algorithms
- 3 Primes and Greatest Common Divisors
- 4 Prime Numbers**
- 5 Primes Generation





# Infinitude of Primes

## Theorem (Euclid)

*There are infinitely many primes.*



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## Proof.

- Assume there are finitely many primes:  $p_1, p_2, \dots, p_n$
- Let  $q = p_1 p_2 \dots p_n + 1$



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- Either  $q$  is prime or by the fundamental theorem of arithmetic it is a product of primes.
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- Hence, there is a prime  $q$  not on the list  $p_1, p_2, \dots, p_n$ .



**Note:** This proof was given by Euclid in [The Elements](#) more than 2000 years ago. The proof is considered to be one of the most beautiful in all mathematics. It is the first proof in The Book, inspired by the famous mathematician Paul Erdős imagined collection of perfect proofs maintained by God.

# Infinitude of Primes

## Example

We start with a list consisting of the single prime  $\{2\}^a$ . Then we compute

$$n = 2 + 1 = 3 \quad \rightarrow \text{prime}$$

$$n = 2 \cdot 3 + 1 = 7 \quad \rightarrow \text{prime}$$

$$n = 2 \cdot 3 \cdot 7 + 1 = 43 \quad \rightarrow \text{prime}$$

$$n = 2 \cdot 3 \cdot 7 \cdot 43 + 1 = 1807$$



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$$n = 2 \cdot 3 \cdot 7 + 1 = 43 \quad \rightarrow \text{prime}$$

$$n = 2 \cdot 3 \cdot 7 \cdot 43 + 1 = 1807 = 13 \times 139 \quad \rightarrow \text{not prime}$$

---

<sup>a</sup>2 is the oddest prime!



# Infinitude of Primes

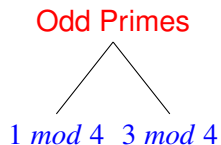
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# Infinitude of Primes

- Every odd number is congruent to either 1 or 3 mod 4



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# Infinitude of Primes

## Theorem (Dirichlet's Theorem on Primes in Arithmetic Progressions)

Let  $a$  and  $m$  be integers with  $\gcd(a, m) = 1$ . Then there are infinitely many primes of the form

$$p \equiv a \pmod{m}.$$



# The Prime Number Theorem



# The Prime Number Theorem

## Theorem

When  $x$  is large, the number of primes less than  $x \approx \frac{x}{\ln(x)}$ . In other words,

$$\lim_{x \rightarrow \infty} \frac{\pi(x)}{x/\ln(x)} = 1,$$

where

$$\pi(x) = \#\{\text{primes } p \text{ with } p \leq x\}$$





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## Conjecture (Goldbach's Conjecture)

*Every even number  $n \geq 4$  is a sum of two primes.*



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## Conjecture (The $n^2 + 1$ Conjecture)

*There are infinitely many primes of the form  $n^2 + 1$*



# Mersenne Primes

- Let  $m = a^n - 1$ , for  $n \geq 2$ .  $m \in \{\text{prime}, \text{composite}\}$ .



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$$x^n - 1 = (x - 1)(x^{n-1} + x^{n-2} + \cdots + x^2 + x + 1).$$

- $(a - 1) \mid (a^n - 1)$ . So  $a^n - 1$  will be composite unless  $a - 1 = 1 \Rightarrow a = 2$ .

- Observation:**

- (i)  $2^n - 1$  is divisible by 3, when  $n$  is even.



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- Observation:**

- (i)  $2^n - 1$  is divisible by 3, when  $n$  is even.
- (ii)  $2^n - 1$  is divisible by 7, when  $n$  is divisible by 3
- (iii)  $2^n - 1$  is divisible by 31, when  $n$  is divisible by 5



# Mersenne Primes

## Proposition

If  $a^n - 1$  is prime for some numbers  $a \geq 2$  and  $n \geq 2$ , then  $a$  must equal 2 and  $n$  must be a *prime*.



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- If we are interested in primes of the form  $a^n - 1$  we only need to a number of the form  $2^p - 1$ , where  $p$  is prime.





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- If we are interested in primes of the form  $a^n - 1$  we only need to a number of the form  $2^p - 1$ , where  $p$  is prime.

## Definition (Mersenne Primes)

Primes of the form  $2^p - 1$  are called *Mersenne primes*.



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## Definition (Mersenne Primes)

Primes of the form  $2^p - 1$  are called Mersenne primes.

The most **recent Mersenne primes** found in Dec 2018

$$M_{51} = 2^{82589933} - 1$$



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- $\sigma(30)$





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- $\sigma(30) = 1 + 2 + 3 + 5 + 6 + 10 + 15 + 30 = 72$
- $\sigma(5) = (5 + 1) = 6, \quad \sigma(6) = 12$



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## Theorem (Euler's Perfect Number Theorem)

If  $n$  is an even perfect number, then  $n$  looks like

$$2^{p-1}(2^p - 1),$$

where  $2^p - 1$  is a Mersenne prime.



# Perfect Number



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$$1000000000000000 = 7798219 \times 12823440 + 6546640$$



# $k^{\text{th}}$ Roots mod $m$

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$k^{\text{th}}$  Roots mod  $m$  $k^{\text{th}}$  roots mod  $m$ 

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$k^{\text{th}}$  Roots mod  $m$ 

## Exercise

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$$\sqrt[3]{2} \pmod{33}$$



$k^{\text{th}}$  Roots mod  $m$ 

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1 Compute

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2 Compute

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$k^{\text{th}}$  Roots mod  $m$ 

## Exercise

① *Compute*

$$\sqrt[3]{2} \pmod{33} \Rightarrow 8 \equiv \sqrt[3]{2} \pmod{33}$$

② *Compute*

$$\sqrt[11]{7} \pmod{40} \Rightarrow 23 \equiv \sqrt[11]{7} \pmod{40}$$





# Outline

- 1 Divisibility and Modular Arithmetic
- 2 Integer Representations and Algorithms
- 3 Primes and Greatest Common Divisors
- 4 Prime Numbers
- 5 Primes Generation**



# The Sieve of Erastosthenes



# The Sieve of Erastosthenes

- The Sieve of Erastosthenes can be used to find all primes not exceeding a specified positive integer  $n$ .

For example, begin with the list of integers between 1 and 100.

- (i) Delete all the integers, other than 2, divisible by 2.
- (ii) Delete all the integers, other than 3, divisible by 3.
- (iii) Next, delete all the integers, other than 5, divisible by 5.
- (iv) Next, delete all the integers, other than 7, divisible by 7.
- (v) Since all the remaining integers are not divisible by any of the previous integers, other than 1, the primes are:

{2, 3, 5, 7, 11, 13, 17, 19, 23, 29, 31, 37, 41, 43, 47, 53, 59, 61, 67,  
71, 73, 79, 83, 89, 97}



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- Computational complexity of this algo =  $O(n \log \log n)$



# The Sieve of Eratosthenes

All prime numbers in the range [1 : 16]

2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
---	---	---	---	---	---	---	---	----	----	----	----	----	----	----

2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
---	---	---	---	---	---	---	---	----	----	----	----	----	----	----

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# Primes and Arithmetic Progressions

- Euclid proved that **there are infinitely many primes**.
- G. Lejueune Dirchlet also showed that every arithmetic progression  $ka + b$ ,  $k = 1, 2, \dots$ , where  $a$  &  $b$  have no common factor greater than 1 contains infinitely many primes in the 19th century
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- Are there long arithmetic progressions made up entirely of primes?
  - 5,11, 17, 23, 29 is an arithmetic progression of **5 primes**.
  - 199, 409, 619, 829, 1039,1249, 1459, 1669, 1879, 2089 is an arithmetic progression of **10 primes**.
- In the 1930s, Paul Erdős conjectured that for every positive integer  $n > 1$ , **there is an arithmetic progression of length  $n$  made up entirely of primes**. This was proven in 2006, by Ben Green and Terence Tao.





# Generating Primes

- Number theory is noted as a subject for which it is easy to formulate conjectures, some of which are difficult to prove and others that remained open problems for many years.
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- Consider the polynomial  $f(n) = n^2 - n + 41$ . This polynomial has the interesting property that  $f(n)$  is prime for all positive integers  $n \leq 40$ .



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- The problem of generating large primes is of both theoretical and practical interest.
- Finding large primes, say with 600 hundred of digits, is important in cryptography.
- So far, no useful closed formula that always produces primes has been found.
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- Fortunately, we can generate large integers which are almost certainly primes.
- In 2002, AKS gave algorithm **PRIMES is in  $\mathcal{P}$**
- **Miller-Rabin primality test** proposed in 1980. It's a probabilistic algorithm. It is normally used to check primality of large number.



# Carmichael Numbers

## Definition

A composite integer  $n$  that satisfies the congruence  $b^{n-1} \equiv 1 \pmod n \forall b, b \in \mathbb{N}$  with  $\gcd(b, n) = 1$  is called a *Carmichael number*.



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- If  $\gcd(b, 561) = 1$ , we have

$$b^{560} = (b^2)^{280} \equiv 1 \pmod 3,$$

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- $\Rightarrow b^{560} \equiv 1 \pmod{561}$

# Carmichael Numbers

## Example

All Carmichael numbers  $< 10000$ :

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- Carmichael number with 4 prime factors  $62745 = 3 \times 5 \times 47 \times 89$
- There are infinitely many Carmichael numbers



# Carmichael Numbers

## Theorem

*Korselt's Criterion for Carmichael Numbers* Let  $n$  be a composite number. Then  $n$  is a Carmichael number iff it is odd and every prime  $p$  dividing  $n$  satisfies the following two conditions:

- (i)  $p^2 \nmid n$
- (ii)  $(p-1) \mid (n-1)$



# Quadratic Residue



# Quadratic Residue

## Example

$b$	1	2	3	4	5	6	7	8	9	10	11	12
$b^2$	1	4	9	3	12	10	10	12	3	9	4	1

mod 13





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- Is 3 congruent to the square of some number modulo 13?
- Does the congruence  $x^2 \equiv -1 \pmod{13}$  have a solution?



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## Definition

A nonzero number that is congruent to a square modulo  $p$  is called a *quadratic residue mod  $p$* . A number that is not congruent to a square modulo  $p$  is called a *quadratic nonresidue mod  $p$* .

# Quadratic Residue

## Definition

Let  $a \in \mathbb{Z}_n^*$ ;  $a$  is said to be a **quadratic residue** modulo  $n$ , if

$$\exists x \in \mathbb{Z}_n^* \ni x^2 \equiv a \pmod{n}.$$

If no such  $x$  exists, then  $a$  is called a **quadratic non-residue** modulo  $n$ .

The set of all **quadratic residues** modulo  $n$  is denoted by  $Q_n$  and the set of all **quadratic non-residues** is denoted by  $\overline{Q_n}$ .



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- Let  $p$  be an odd prime and let  $\alpha$  be a generator of  $\mathbb{Z}_p^*$ . Then  $a \in \mathbb{Z}_p^*$  is a **quadratic residue** modulo  $p \Leftrightarrow a \equiv \alpha^i \pmod{p}$ , where  $i$  is an even integer.



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- Let  $p$  be an odd prime and let  $\alpha$  be a generator of  $\mathbb{Z}_p^*$ . Then  $a \in \mathbb{Z}_p^*$  is a **quadratic residue** modulo  $p \Leftrightarrow a \equiv \alpha^i \pmod{p}$ , where  $i$  is an even integer.
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# Quadratic Residue

## Definition

Let  $a \in \mathbb{Z}_n^*$ ;  $a$  is said to be a **quadratic residue** modulo  $n$ , if

$$\exists x \in \mathbb{Z}_n^* \ni x^2 \equiv a \pmod{n}.$$

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## Theorem

Let  $p$  be an odd prime. Then there are exactly  $\frac{p-1}{2}$  quadratic residues and exactly  $\frac{p-1}{2}$  quadratic nonresidues  $\pmod{p}$ .

# Quadratic Residue

## Example

$\alpha = 6$  is a generator of  $\mathbb{Z}_{13}^*$ . The powers of  $\alpha$  are

$i$	0	1	2	3	4	5	6	7	8	9	10	11
$\alpha^i \bmod 13$	1	6	10	8	9	2	12	7	3	5	4	11



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Let  $n = 21$ .

Then  $Q_{21}$



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Let  $n = 21$ .

Then  $Q_{21} = \{1, 4, 16\}$  and  $\overline{Q}_{21} = \{2, 5, 8, 10, 11, 13, 17, 19, 20\}$ .



# The Legendre and Jacobi Symbols

- Let  $p$  be an odd prime and  $a$  an integer. The **Legendre symbol**  $\left(\frac{a}{p}\right)$  is defined to be

$$\left(\frac{a}{p}\right) = \begin{cases} 0, & \text{if } p \mid a, \\ 1, & \text{if } a \in Q_p, \\ -1, & \text{if } a \in \overline{Q_p}. \end{cases}$$



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- Let  $n \geq 3$  be odd with prime factorization  $n = p_1^{e_1} p_2^{e_2} \cdots p_k^{e_k}$ . Then the **Jacobi symbol**  $\left(\frac{a}{n}\right)$  is defined to be

$$\left(\frac{a}{n}\right) = \left(\frac{a}{p_1}\right)^{e_1} \left(\frac{a}{p_2}\right)^{e_2} \cdots \left(\frac{a}{p_k}\right)^{e_k}$$



# Properties of Legendre Symbol

- ①  $\left(\frac{a}{p}\right) = a^{(p-1)/2} \pmod{p}$ . In particular,  $\left(\frac{1}{p}\right) = 1$  and  $\left(\frac{-1}{p}\right) = (-1)^{(p-1)/2}$ .  
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(iii) If  $a \equiv b \pmod{p}$ , then  $\left(\frac{a}{p}\right) = \left(\frac{b}{p}\right)$ .

(iv) **Law of quadratic reciprocity:** If  $q$  is an odd prime distinct from  $p$ , then

$$\left(\frac{p}{q}\right) = \left(\frac{q}{p}\right) (-1)^{(p-1)(q-1)/4}.$$



# Properties of Legendre Symbol

## Theorem (Law of Quadratic Reciprocity)

Let  $p$  and  $q$  be distinct odd primes.

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# Examples

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$$\left(\frac{14}{137}\right) =$$



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$$\begin{aligned} \left(\frac{14}{137}\right) &= \left(\frac{2}{137}\right)\left(\frac{7}{137}\right) && \text{Quadratic Residue Multiplication Rule} \\ &= \left(\frac{7}{137}\right) && \text{Quadratic Reciprocity says } \left(\frac{2}{137}\right) = 1, \because 137 \equiv 1 \end{aligned}$$



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## Exercise

*Compute*

$$\left(\frac{55}{179}\right)$$

# Generalized Law of Quadratic Reciprocity

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Let  $a$  and  $b$  be odd positive integers.

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# Solovay-Strassen Theorem

## Definition

If  $n > 1$  is an odd integer then an integer  $a \in \{1, \dots, n-1\}$  s/t either

- (i)  $\gcd(a, n) > 1$ , or
- (ii)  $\gcd(a, n) = 1$  and  $a^{(n-1)/2} \not\equiv \left(\frac{a}{n}\right) \pmod{n}$

is called an *Euler witness* for  $n$ .



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## Theorem

Let  $n$  be an odd composite positive integer. There is an integer  $a \in \{1, \dots, n-1\}$  s/t

$$\gcd(a, n) = 1 \text{ and } a^{(n-1)/2} \not\equiv \left(\frac{a}{n}\right) \pmod{n}.$$

# Property of Prime Numbers

## Theorem

Let  $p$  be an odd prime and write

$$p - 1 = 2^k q \quad \text{with } q \text{ odd.}$$

Let  $a$  be any number not divisible by  $p$ . Then one of the following two conditions is true:

- (i)  $a^q \equiv 1 \pmod{p}$
- (ii) One of the numbers  $a^q, a^{2q}, a^{4q}, \dots, a^{2^{k-1}q}$  is congruent to  $-1 \pmod{p}$ .





# Miller-Rabin Test for Composite Numbers

## Theorem

Let  $n$  be an odd integer and write  $n - 1 = 2^k q$  with  $q$  odd. If both of the following conditions are true for some  $a$  not divisible by  $n$ , then  $n$  is a composite number

(i)

$$a^q \not\equiv 1 \pmod{n}$$

(ii)

$$a^{2^i q} \not\equiv -1 \pmod{n}, \quad 0 \leq i \leq k - 1$$



# Miller-Rabin Test for Composite Numbers

- Let  $n$  be an odd integer and write  $n - 1 = 2^k q$  with  $q$  odd.
- If  $n$  is prime and  $1 \leq a \leq n - 1$  then  $a^{n-1} - 1 \equiv 0 \pmod{n}$



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$$\begin{aligned}
 a^{2^k q} - 1 &= \left( a^{2^{k-1} q} \right)^2 - 1 \\
 &= \left( a^{2^{k-1} q} - 1 \right) \left( a^{2^{k-1} q} + 1 \right) \\
 &= \left( a^{2^{k-2} q} - 1 \right) \left( a^{2^{k-2} q} + 1 \right) \left( a^{2^{k-1} q} + 1 \right)
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 &\vdots \\
 &= \left( a^q - 1 \right) \left( a^q + 1 \right) \left( a^{2q} + 1 \right) \left( a^{4q} + 1 \right) \dots \left( a^{2^{k-1} q} + 1 \right)
 \end{aligned}$$



# Miller-Rabin Test for Composite Numbers

## Example

- We will apply the Miller-Rabin test for  $n = 561$  with  $a = 2$
- We have  $n - 1 = 560 = 2^4 \times 35$

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$$2^{35} \equiv 263 \pmod{561},$$

$$2^{2 \cdot 35} \equiv 263^2 \equiv 166 \pmod{561},$$

$$2^{4 \cdot 35} \equiv 166^2 \equiv 67 \pmod{561},$$

$$2^{8 \cdot 35} \equiv 67^2 \equiv 1 \pmod{561}.$$

# Miller-Rabin Test for Composite Numbers

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$$2^{2 \cdot 35} \equiv 263^2 \equiv 166 \pmod{561},$$

$$2^{4 \cdot 35} \equiv 166^2 \equiv 67 \pmod{561},$$

$$2^{8 \cdot 35} \equiv 67^2 \equiv 1 \pmod{561}.$$

- Thus, **2** is a Miller-Rabin witness to the fact that **561** is a composite number.

# Miller-Rabin Test for Composite Numbers

## Exercise

*Apply Miller-Rabin test for*

- 1  $n = 13$
- 2  $n = 41$
- 3  $n = 30121$



# Fermat Test for Primality – Probabilistic Algorithm

## Fermat Test for Primality

**Input:**  $n$

**Output:** YES if  $n$  is composite, NO otherwise.

Choose a random  $b$ ,  $0 < b < n$

**if**  $\gcd(b, n) > 1$  **then**

  | **return** YES

**end**

**else** ;

**if**  $b^{n-1} \not\equiv 1 \pmod n$  **then**

  | **return** YES

**end**

**else** ;

**return** NO



# The Euler Test – Probabilistic Algorithm

- If  $n$  is an odd prime, we know that an integer can have at most two square roots,  $\pmod n$ . In particular, the only square roots of  $1 \pmod n$  are  $\pm 1$ .
- If  $a \not\equiv 0 \pmod n$ ,  $a^{(n-1)/2}$  is a square root of  $a^{n-1} \equiv 1 \pmod n$ , so  $a^{(n-1)/2} \equiv \pm 1 \pmod n$ .



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- If  $a^{(n-1)/2} \not\equiv \pm 1 \pmod n$  for some  $a$  with  $a \not\equiv 0 \pmod n$ , then  $n$  is composite.



# The Euler Test – Probabilistic Algorithm

- For a randomly chosen  $a$  with  $a \not\equiv 0 \pmod n$ , compute  $a^{(n-1)/2} \pmod n$ .



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*If  $n$  is large and chosen at random, the probability that  $n$  is prime is very close to 1.*

- (ii) If  $a^{(n-1)/2} \not\equiv \pm 1 \pmod n$ , declare  $n$  **composite**.

*This is always correct.*



# The Euler Test – Probabilistic Algorithm

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- (i) If  $a^{(n-1)/2} \equiv \pm 1 \pmod n$ , declare  $n$  a **probable prime**, and optionally repeat the test a few more times.

*If  $n$  is large and chosen at random, the probability that  $n$  is prime is very close to 1.*

- (ii) If  $a^{(n-1)/2} \not\equiv \pm 1 \pmod n$ , declare  $n$  **composite**.

*This is always correct.*

The Euler test is more powerful than the Fermat test.



# The Euler Test – Probabilistic Algorithm

The Euler test is more powerful than the Fermat test.

- If the Fermat test finds that  $n$  is composite, so does the Euler test.
- If  $n$  is an odd composite integer (other than a prime power),  $1$  has at least  $4$  square roots  $\pmod n$ .
- So we can have  $a^{(n-1)/2} \equiv \beta \pmod n$ , where  $\beta \neq \pm 1$  is a square root of  $1$ .



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- So we can have  $a^{(n-1)/2} \equiv \beta \pmod n$ , where  $\beta \neq \pm 1$  is a square root of  $1$ .
- Then  $a^{n-1} \equiv 1 \pmod n$ . In this situation, the Fermat Test (incorrectly) declares  $n$  a probable prime, but the Euler test (correctly) declares  $n$  composite.



# Miller-Rabin Test – Probabilistic Algorithm

- The Euler test improves upon the Fermat test by taking advantage of the fact, if 1 has a square root other than  $\pm 1 \pmod n$ , then  $n$  must be composite.
- If  $a^{(n-1)/2} \not\equiv \pm 1 \pmod n$ , where  $\gcd(a, n) = 1$ , then  $n$  must be composite for one of two reasons:
  - If  $a^{n-1} \not\equiv 1 \pmod n$ , then  $n$  must be composite by Fermat's Little Theorem
  - If  $a^{n-1} \equiv 1 \pmod n$ , then  $n$  must be composite because  $a^{(n-1)/2}$  is a square root of  $1 \pmod n$  different from  $\pm 1$ .



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- The limitation of the Euler test is that it does not go to any special effort to find square roots of 1, different from  $\pm 1$ . The Miller-Rabin test does this.



# Miller-Rabin Test – Probabilistic Algorithm

## Miller-Rabin Test

**Input:** an odd integer  $n \geq 3$  and security parameter  $t \geq 1$ .

**Output:** an answer “prime” or “composite” to the question: “Is  $n$  prime?”

Write  $n - 1 = 2^s \cdot r$  s/t  $r$  is odd.

**for**  $i = 1$  **to**  $t$  **do**

    Choose a random integer  $a$  s/t  $2 \leq a \leq n - 2$ .

    Compute  $y \equiv a^r \pmod n$

**if**  $y \neq 1$  &  $y \neq n - 1$  **then**

$j \leftarrow 1$ .

**while**  $j \leq s - 1$  &  $y \neq n - 1$  **do**

            Compute  $y \leftarrow y^2 \pmod n$ .

**If**  $y = 1$  **then** **return**(“composite”).

$j \leftarrow j + 1$ .

**end**

**If**  $y \neq n - 1$  **then** **return** (“composite”).

**end**

**end**

**Return**(“prime”).

# Miller-Rabin Test

- The Miller-Rabin test is very fast and easy to implement on a computer, since, after computing  $a^r \pmod n$ , we simply compute a few squares  $\pmod n$ .





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- If  $n$  is an odd composite number, then at least 75% of the numbers  $a$  between 1 and  $n - 1$  act as Miller-Rabin witnesses for  $n$ .
- If we randomly choose 100 different values for  $a$ , and if none of them are Miller-Rabin witnesses for  $n$ , then the probability of  $n$  being composite  $< 2^{-200} \approx 6 \times 10^{-61}$ .



# Deterministic Polynomial Time Algorithm

## Idea of The AKS Algorithm

- Let  $a \in \mathbb{Z}$ ,  $n \in \mathbb{N}$ ,  $n \geq 2$ , and  $\gcd(a, n) = 1$ . Then  $n$  is prime iff

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- Test the following equation:

$$(X + a)^n \equiv X^n + a \pmod{(X^r - 1), n},$$

for an appropriately chosen small  $r$ .



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**Input:** a positive integer  $n > 1$

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If  $n = a^b$  with  $a \in \mathbb{N}$  &  $b > 1$ , then output **COMPOSITE**.

Find the smallest  $r$  such that  $\text{ord}_r(n) > 4(\log n)^2$ .

If  $1 < \text{gcd}(a, n) < n$  for some  $a \leq r$ , then output **COMPOSITE**.





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**for**  $a = 1$  **to**  $\lfloor 2\sqrt{\phi(r)} \log n \rfloor$  **do**

    if  $(x - a)^n \not\equiv (x^n - a) \pmod{(x^r - 1, n)}$ ,

    then output **COMPOSITE**.

**end**

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Time Complexity =  $O(\log^6 n)$



# References

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# The End

**Thanks a lot for your attention!**

