Basic Structures

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Outline

- Set Theory
 - Cartesian Product & Binary Relation
 - Partition
 - Function
 - Countable & Uncountable Sets



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- Set Theory
 - Cartesian Product & Binary Relation
 - Partition
 - Function
 - Countable & Uncountable Sets





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David Hilbert





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Definition

A set is any collection of definite, distinguishable objects of our intuition or of our intellect to be conceived as a whole.

Georg Cantor



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Definition

A set is any collection of definite, distinguishable objects of our intuition or of our intellect to be conceived as a whole.

Georg Cantor

Definition

A set is a well defined collection of objects.



Exercise

Which of the following collections is a set:

- Collection of some integers.
- Collection of small primes.
- Collection of positive integer ≥ 300 digits.
- Collection of all English alphabet.
- Collection of all employee of IIIT.
- Collection of all rich people in Lucknow.
- \bigcirc Collection of all functions $f: \mathbb{N} \to \mathbb{N}$
- © Collection of all one-to-one functions $f: \{0,1\}^n \to \{0,1\}^n$, where n is a positive integer.

Exercise

Which of the following collections is a set:

- Collection of all possible plaintexts
- Collections of all possible encryption functions
- Collection of all decision problems
- (xiii) Collection of all computable functions



Exercise

Which of the following collections is a set:

- (x) Collection of all possible plaintexts
- (xi) Collections of all possible encryption functions
- (xii) Collection of all decision problems
- (xiii) Collection of all computable functions

The term 'well defined' specifies that it can be determined whether or not certain objects belong to the set in question.



Definition

Definition

A set is said to be **empty** (or **null**) set if it does not contain any element. It is denoted by ϕ

Definition

If X and Y are two sets such that every element of X is also an element of Y, then X is called **subset** of Y and is denoted by $X \subseteq Y$ (or simply by $X \subset Y$).



Notations

```
\mathbb{N} (or \mathbb{Z}_{>0})
                the set of all positive integers
                the set of all non-negative integers
                the set of all integers (positive, negative, and zero)
                the set of all rational numbers
                the set of all positive rational numbers
       \mathbb{Q}_{>0}
                the set of all real numbers
                the set of all positive real numbers
        \mathbb{R}_{>0}
                the set of all complex numbers
           Ŧ
                'there exists'
                'for all'
                'uniqueness'
    P \Rightarrow Q \mid P \text{ implies } Q \text{ (or if } P, \text{ then } Q)
    P \Leftrightarrow Q \mid P implies Q \& Q implies P (or if and only if, i.e., iff)
```

Examples

Example

- $\mathbf{0}$ $\mathbb{N} \subset \mathbb{Z}$
- \bigcirc $\mathbb{Z} \subset \mathbb{Q}$
- $\mathbb{Q} \subset \mathbb{R}$
- $B = \{b : b \in \{0, 1\}^8\} \subset W = \{w : w \in \{0, 1\}^{32}\}$





Definition & Properties

Definition

Two sets X and Y are said to be **equal**, denoted by X = Y iff they have the same elements.

Basic Structures

Proposition

- All null subsets are equal.

Proposition

A set X of n elements has 2^n subsets.



Definition

Definition

The **union** (or **join**) of two sets A and B, written as $A \cup B$, is the set $A \cup B = \{x : x \in A \text{ or } x \in B\}$.

Definition

The *intersection* (or *meet*) of two sets A and B, written as $A \cap B$, is the set $A \cap B = \{x : x \in A \text{ and } x \in B\}$.

Definition

Two non-empty sets A and B are said to be **disjoint** iff $A \cap B = \phi$.



Definition

Definition

The **difference** of a set A w.r.t. a set B, denoted by $B \setminus A$ is the set of exactly all elements which belong to B but not to A, i.e.,

$$B \setminus A = \{x \in B : x \notin A\}.$$

Definition

The **symmetric difference** of two given sets A and B, denoted by $A\Delta B$, is defined by

$$A\Delta B = (A \setminus B) \cup (B \setminus A).$$



Properties

Theorem

Each of the operations \cup and \cap is

- **1** idempotent: $A \cup A = A = A \cap A$, for every set A;
- **associative:** $A \cup (B \cup C) = (A \cup B) \cup C$ and $A \cap (B \cap C) = (A \cap B) \cap C$ for any three sets A, B, C;
- **©** commutative: $A \cup B = B \cup A$ and $A \cap B = B \cap A$ for any two sets A, B;
- distributive: ∩ distributes over ∪ and ∪ distributes over ∩:
 - (a) $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$;



Definition

Definition

Let X and Y be two sets. Then the **Cartesian product** of X and Y in this order to be denoted by $X \times Y$, is defined by

$$X \times Y$$
 := {(x, y) : x \in X, y \in Y}
:= \phi \text{ if either X or } Y = \phi,

where (x, y) denotes the ordered pairs with x as the 1^{st} coordinate and y as the 2^{nd} coordinate.

Definition

A **binary relation** ρ from X to Y is by definition a subset of $X \times Y$.

If $(x, y) \in \rho$ we sometimes write $x \rho y$ holds.



Definition & Example

Definition

Let $\rho: X \to Y$ and $\sigma: Y \to Z$ binary relation. Then the **composite** $\sigma \circ \rho$ in this order is defined by

$$\sigma \circ \rho := \{(x, z) : \text{ for some } y \in Y \text{ such that } (x, y) \in \rho \& (y, z) \in \sigma\}.$$

Example

Let $X = \{1, 2, 3, 4, 5\}, Y = \{3, 4, 5, 6\}$ and $Z = \{3, 9, 7, 4\}.$

Let
$$\rho = \{(1,3), (2,4), (3,3), (4,6)\}$$
 and $\sigma = \{(3,3), (3,9), (4,4), (5,9)\}.$

Then $\sigma \circ \rho = \{(1,3), (1,9), (2,4), (3,3), (3,9)\}.$

From this construction it is clear that $\sigma \circ \rho$ may be ϕ even if $\rho \neq \phi$ and $\sigma \neq \phi$.

Note: rho is said to be **null relation** if $\rho = \phi$ and ρ is said to be **Cartesian product relation** if $\rho = X \times Y$.



Definition & Properties

Definition

Let ρ be a binary relation from $X \to Y$, then ρ^{-1} is a relation from $Y \to X$, defined by

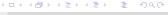
$$\rho^{-1} = \{ (y, x) : (x, y) \in \rho \}.$$

Proposition

Let $\rho: X \to Y, \ \sigma: Y \to Z$ and $\delta: Z \to W$ be binary relations. Then

- $(\sigma \circ \rho)^{-1} = \rho^{-1} \circ \sigma^{-1}.$

If X = Y and ρ is a binary relation from X to X, then we say that ρ is a binary relation on X.



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Type of Relations

Definition

- **1** Let ρ be a binary relation on X ($\neq \phi$) then ρ is said to be **reflexive** iff for each $x \in X$, $(x, x) \in \rho$ i.e. iff $\Delta x = \{(x, x) : x \in X\} \subset \rho$.



Type of Relations

Definition

- (vi) ρ is said to be **complete** iff for each $x, y \in X$ either $(x, y) \in \rho$ or $(y, x) \in \rho$.
- (vii) A binary relation ρ on a non-void set X is said to be an equivalence relation iff ρ is reflexive, symmetric and transitive.
- (viii) A binary relation ρ on $X (\neq \phi)$ is said to be a **pre-order** iff ρ is reflexive and transitive.
 - (ix) ρ is said to be **partial order** on X (and we say that (X, ρ) is a **poset**) iff ρ is reflexive, antisymmetric and transitive.
 - (x) ρ is said to be a **linear order** (or **total order** or **chain**) on X (and (X, ρ) is said to be a **linear ordered set**) iff ρ is a partial order and complete.



Examples

Equivalence relation

Let \mathbb{Z} be the set of integers and n be a positive integer. Define a relation ρ on \mathbb{Z} by $(x,y) \in \rho$ iff y-x is divisible by n, i.e., y-x=k.n for some $k \in \mathbb{Z}$. Then ρ is an equivalence relation.

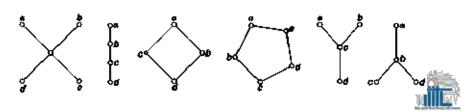


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Equivalence relation

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Different partial order relations



Exercise

Exercise

Give an example of binary relation ρ on a set X such that

- \bullet ρ is symmetric and reflexive but not transitive.
- lacktriangle ho is reflexive and transitive but not symmetric.
- \bigcirc ρ is symmetric and transitive but not reflexive.
- lacktriangle ho is pre-order but not partial order.
- **o** ho is partial order but not linear order.





Definition

Definition

- ① Let (X, \leq) be a poset and S be a subset of X. Then an element $x_0 \in X$ is called an **upper bound** (**lower bound**) of S iff for each $x \in S$, $x \leq x_0$ ($x_0 \leq x$).
- was 1000 will be said to be a lub (least upper bound) [glb (greatest lower bound)] of was 1000 iff
 - \bigcirc x_0 is an upper bound of S
 - \bigcirc if y be any upper bound of S then $x_0 \le y$.

or

- 0 x_0 is a lower bound of S
- \bigcirc if y be any lower bound of S then $y \le x_0$.



Definition and Example

Definition

An element x_0 is called the greatest or maximum element of a subset S iff

- 0 x_0 is an upper bound of S &
- $x_0 \in S$.

Example

- **◎** Consider \mathbb{R} with usual linear order \leq , i.e., $x \leq y$ iff $x y \leq 0$. Let $T = (0, 1) \subset \mathbb{R}$. Then glb T = 0 & lub T = 1. But T does not have greatest or least element.
- ① Let $T = \{x : x > 0\} \subset \mathbb{R}$. Then T does not have a lub but it has a glb = 0. But it does not have a least element.



Definition and Example

Definition

Let (X, \leq) be a poset and $S \subseteq X$ be a non-empty subset. An element $x_0 \in S$ is said to be a maximal element of S iff for any $y \in S$ & $x_0 \leq y \Rightarrow x_0 = y$, i.e., if $y \in S$, then $y \not\succ x_0$.

Dually, one can define minimal element in a set S.

If S has a greatest or least element then they are rsp! maximal or minimal element of S.

Example

Let $X = \mathbb{N}$ and $X_0 \subseteq \mathcal{P}(\mathbb{N})$ be the set of all non-void subset of \mathbb{N} which contains at most n elements, where n > 1. Let the partial order relation on X be defined by \leq , i.e., for any $A, B \in X_0$, $A \leq B$ iff $A \subseteq B$. This is a partial order on X_0 (induced on $\mathcal{P}(\mathbb{N})$). The maximal element in X_0 are all the set which contains n elements. So there are infinite number of maximal element. And all the singleton set are the minimal element and the minimal element are also infinite.



Well-ordered Set

Definition

A poset in which each pair of elements

- has the lub is called an upper semi-lattice;
- has the glb is called a lower semi-lattice; and
- has both the lub and the glb are called a lattice.

The question arises when can we say that a partially ordered set (X, \leq) has a maximal element?





Well-ordered Set

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A poset in which each pair of elements

- has the lub is called an upper semi-lattice;
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- has both the lub and the glb are called a lattice.

The question arises when can we say that a partially ordered set (X, \leq) has a maximal element?

Lemma

(Zorn's Lemma) Let (X, \leq) be a poset such that each linearly ordered subset has a lub. Then X has a maximal element.



Well-ordered Set

Definition

Let (X, \leq) be a poset. Then X is said to be **well-ordered set** (and \leq an well ordering of X) iff each non-void subset of X has a least element.

Note: any well-ordered set is a linearly ordered. Real line \mathbb{R} or set of integers \mathbb{Z} with usual linear ordering \leq is not well-ordered. The set $\mathbb{Z}_{\geq 0}$ of all non-negative integers is well-ordered.

Theorem

Zermelo's Theorem: Every non-void set can be well-ordered.

Well-ordering theorem (above) ← Zorn's lemma.





Partition

Definition

Let X be a non-void set. Then a family \mathcal{P} of subset of X is called a partition of X iff

Theorem

Let X be a non-void set and ρ be an equivalence relation on X. Let

$$(x) = \{y \in X : (x, y) \in \rho\}.$$
 Then

- for each $x, y \in X$ either (x) = (y) or $(x) \cap (y) = \phi$
- if $\mathcal{P}(\rho) = \{(x) : x \in X\}$, then $\mathcal{P}(\rho)$ is a partition of X induced by ρ .

Conversely, let \mathcal{P} be a partition of X, then \mathcal{P} generates an equivalence relation.

= 000

Example

Example

Let $X = \mathbb{Z}$ and n be a positive integer > 1. Define ρ on \mathbb{Z} by $(x,y) \in \rho$ iff x-y=k.n i.e. x-y is divisible by n. Clearly, ρ is an equivalence relation. $(x,y) \in \rho$ iff x,y when divisible by n

Division Algorithm: Let $a,b\in\mathbb{Z}$ and $b\neq 0$. Then \exists ! integer q; & r with $r\geq 0$ such that a=b.q+r, where $0\leq r<|b|$. Since there are exactly n possible remainders $0,1,2,\cdots,n-1$, so there are n equivalence classes, viz., $(0),(1),(2),\cdots,(n-1)$. If $m\in\mathbb{Z}$, (m) must be one of the above classes.

Note: Let X be a non-void set and ρ be an equivalence relation on X. Then $\mathcal{P}(\rho)$ is usually denoted by X/ρ is called **qutioned set** of X by

leaves the same remainder.

Functions

Definition

A function f on X to Y is a binary relation from X to Y s/t for each $x \in X$, $(x, y_1) & (x, y_2) \in f \Rightarrow y_1 = y_2$.

Domain of
$$f := \{x \in X : (x, y) \in f \text{ for some } y \in Y\}.$$

Range of
$$f := \{y \in Y : (x, y) \in f \text{ for some } x \in X\}.\}$$

If $(x, y) \in f$, then we write y = f(x) and call y the image of x under f. Thus a function f is a correspondence which associates with each point of $x \in Domain \ f$ a ! element $y(= f(x)) \in Y$.

Our definition of function identifies a function with its graph, i.e.

$$f \equiv \{(x, y) \in X \times Y : y = f(x)\}.$$



If domain of f = X, we use the symbol $f : X \to Y$.

Functions

Definition

Let $f: X \to Y$ and $A \subseteq X$, $B \subseteq Y$, then the direct image of A under f to be denoted by f(A) is defined by

```
f(A) := \{ y \in Y : (x, y) \in f \text{ for some } x \in A \}:= \{ y \in Y : y = f(x) \text{ for some } x \in A \}
```

Inverse image of B under f to be denoted by $f^{-1}(B)$ is defined by

```
f^{-1}(B) := \{x \in X : (x, y) \in f \text{ for some } y \in B\}
:= \{x \in X : y = f(x) \text{ for some } y \in B\}
```





Functions

Definition

Let $f: X \to Y$ and $A \subseteq X$, $B \subseteq Y$, then the direct image of A under f to be denoted by f(A) is defined by

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$$f^{-1}(B) := \{x \in X : (x,y) \in f \text{ for some } y \in B\}$$

:= $\{x \in X : y = f(x) \text{ for some } y \in B\}$

Example

Let
$$f: \mathbb{R} \to \mathbb{R}$$
, s/t , $x \mapsto x^2$ and $A = (-2, 4)$, $B = (-1, 4)$. Therefore, $f(A) = (0, 16)$, $f^{-1}(B) = (-2, 2)$. If $C = (-2, -1)$, $f^{-1}(C) = \phi$.



Theorem

Let $f: X \to Y$ be a function and let $A, B \subseteq X$ and $C, D \subseteq Y$. Then

- $f^{-1}(C \cap D) = f^{-1}(C) \cap f^{-1}(D)$





Theorem

Let $f: X \to Y$ be a function and let $A, B \subseteq X$ and $C, D \subseteq Y$. Then

Example

Let $f: X \to Y$ be not one-one. Then $\exists x_1, x_2 \in X \text{ s/t } f(x_1) = f(x_2) = y$. Let $A = \{x_1\}, B = \{x_2\}$. Then $A \cap B = \phi$ and $f(A) \cap f(B) = \{y\}$.

This gives us $f(A \cap B)(= \phi) \subset f(A) \cap f(B)(= \{y\})$.

Definition

Let $f: X \to Y$ and $g: Y \to Z$ be functions. Then the composition $g \circ f$ is defined by

$$g \circ f = \{(x, z) \in X \times Z : for some \ y \in Y \ s/t \ (x, y) \in f \ \& \ (y, z) \in g\}$$

= $\{(x, z) \in X \times Z : \exists y \in Y \ s/t \ y = f(x) \ \& \ z = g(y)\}$

Proposition

Let $f: X \to Y$, $g: Y \to Z$, $h: Z \to W$ be functions. Then $(h \circ g) \circ f = h \circ (g \circ f)$.



Definition

A function $f: X \to Y$ is said to be one-one or injective iff $f(x_1) = f(x_2) \Rightarrow x_1 = x_2$, i.e., iff image of distinct elements are distinct.

Definition

A function $f: X \to Y$ is said to be onto or surjective iff f(X) = Y, i.e., iff for each $y \in Y \exists x \in X \ s/t \ f(x) = y$.

Note: Let $f: X \to Y$ be an injective function. Then f^{-1} is defined as a function on Y to X with domain $f^{-1} = range f$ and range $f^{-1} = domain f$.

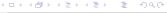
Note: If $f: X \to Y$ is injective, $f^{-1}: range \ f \to X$ is also injective.

Exercise

If $f: X \to Y$ is injective and A, $B \subseteq X$, then $f(A \cap B) = f(A) \cap f(B)$.



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Definition

A function $f: X \to Y$ is said to be bijective iff it is injective and surjective.

Proposition

Let $f: X \to Y$ and $g: Y \to Z$ be functions, then

- 0 $g \circ f$ is injective if f, g are injective,
- \bigcirc $g \circ f$ is surjective if g, f are surjective,
- $\bigcirc g \circ f$ is bijective if g, f are bijective,
- \bigcirc if $f: X \to Y$ be bijective, then $f^{-1}: Y \to X$ is bijective.



Definition

Let X be a non-void set and let $T = \mathcal{P}(X) \setminus \phi$ be the collection of all non-void subset of X. Then a choice function on X is a function $c: T \to X$ s/t for each $A \in T$, $c(A) \in A$.



Definition

Let X be a non-void set and let $T = \mathcal{P}(X) \setminus \phi$ be the collection of all non-void subset of X. Then a choice function on X is a function $c: T \to X$ s/t for each $A \in T$, $c(A) \in A$.

Axiom

Axiom of Choice: Every non-void set *X* admits a choice function.

 $Zorn's\ lemma \Leftrightarrow Well\ ordering\ theorem \Leftrightarrow Axiom\ of\ choice$





Definition

- **1** Let $J_n = \{1, 2, 3, \dots, n\}$. A set X is said to be finite iff either $X = \phi$ or \exists for some $n \in \mathbb{N}$ and $f: J_n \to X$ s/t f is bijective. In the latter case, #X = n.
- A set X is said to be infinite if it is not finite.
- ⚠ A set X is said to be countable (enumerable) iff either X is finite or \exists a bijection $f: \mathbb{N} \xrightarrow{onto} X$.

Proposition

- ① If X is countable and $A \subseteq X$, then A is countable.
- (ii) A set $X \neq \phi$ is countable iff the elements of X can be arranged in infinite sequence $\{x_1, x_2, x_3, \dots\}$.
- If X & Y are countable, then $X \times Y$ is countable. More generally, if X_1, X_2, \dots, X_k are finitely many countable sets then $X_1 \times X_2 \times \dots \times X_k$ is also countable.



Proposition

- (iv) If $\{X_n : n \in \mathbb{N}\}$ is a countable collection of countable set then $\bigcup_{n=1}^{\infty} X_n$ is countable, i.e. countable union of countable sets is countable.
- (v) The set of all rationals, ℚ, is countable.





Theorem

The set of all integers Z, is a countably infinite set.



Theorem

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Proof.

Define a function $f: \mathbb{N} \to \mathbb{Z}$ as follows:

$$f(n) = \begin{cases} 0, & when \quad n = 1, \\ \frac{n}{2}, & when \quad n \text{ is even} \\ -\frac{n-1}{2}, & when \quad n \text{ is odd } \& n > 1 \end{cases}$$



Theorem

Prove that $\mathbb{N} \times \mathbb{N}$ is countable.





Theorem

Prove that $\mathbb{N} \times \mathbb{N}$ is countable.

Proof.

- (0,0) (1,0) (2,0) (3,0) ...
- (0,1) (1,1) (2,1) (3,1) ...
- (0,2) (1,2) (2,2) (3,2) ...
- (0,3) (1,3) (2,3) (3,3) ...





Theorem

Prove that $\mathbb{N} \times \mathbb{N}$ is countable.

Proof.

$$(0,0)$$
 $(1,0)$ $(2,0)$ $(3,0)$ ···

$$(0,1)$$
 $(1,1)$ $(2,1)$ $(3,1)$...

$$(0,2)$$
 $(1,2)$ $(2,2)$ $(3,2)$...

$$(0,3)$$
 $(1,3)$ $(2,3)$ $(3,3)$...

$$\{(0,0),(0,1),(1,0),(0,2),(1,1),(2,0),\ldots\}$$

Prove that set of positive rational numbers is countable.



Theorem

[0,1] is uncountable and hence \mathbb{R} is uncountable.





Theorem

[0,1] is uncountable and hence \mathbb{R} is uncountable.

Theorem

Let X be any countable set and $f: X \to Y$ be a surjection. Then Y is also countable.

Exercise

Let $X \neq \phi$ be a countable set. Then the collection of all finite sequence of elements of X is also countable. The collection of all finite subset of X is also countable.



Definition

An element $x \in \mathbb{C}$ is said to be algebraic number (or algebraic integer) iff it satisfies a polynomial equations

$$a_0 + a_1 x + \dots + a_n x^n = 0$$

with rational (or integer) coefficient $(a_n \neq 0)$.

Exercise

Show that the set of all algebraic numbers is countable and contains \mathbb{Q} .

Exercise

Let X be any infinite set. Then \exists a countably infinite subset T of X s/t there is a bijection from $X \setminus T$ onto X.

Exercise

If X be a finite set and $f: X \to X$ is surjective (or injective) then f is bijective.





Exercise

If X be a finite set and $f: X \to X$ is surjective (or injective) then f is bijective.

Exercise

Construct counter examples to prove that the above is not true for both the cases if *X* is a infinite set.





Example

Consider the function $f: \mathbb{N} \to \mathbb{N}$ defined by

$$f(1) = 1 = f(2)$$

 $f(n) = n-1 \quad \forall n \ge 3$

Then f is surjective but not injective.

Consider the function $g: \mathbb{N} \to \mathbb{N}$ defined by

$$g(n) = n + 1$$

Then g is injective but not surjective.



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Theorem

Schröder-Bernstine If A, B be non-void sets, $f: A \to B$ be an injective and $g: B \to A$ be an injective functions then \exists a bijection $h: A \stackrel{onto}{\to} B$.





Example

Show that there is a bijection $f:[0,1] \stackrel{onto}{\rightarrow} (0,1)$.





Example

Show that there is a bijection $f:[0,1] \stackrel{onto}{\rightarrow} (0,1)$.

Solution

Consider the mapping $h:(0,1)\to [0,1]$ given by $x\mapsto x$. Then h is injection.

Define $g: [0,1] \to (0,1)$ given by $x \mapsto \frac{1}{2}x + \frac{1}{4}$.

Then g is injection.

So by Schröder-Bernstine theorem \exists a bijection $f:[0,1] \stackrel{onto}{\rightarrow} (0,1)$.



Exercise

Show that there is a bijection $f: \mathbb{R} \to (-1, 1)$

Exercise

Show that if I be any non-degenerate interval of \mathbb{R} then there is a bijection of \mathbb{R} onto I.





- Let X is a finite set of n elements then |X| = n. The concept of countability accommodates more infinite sets for determination of their cardinality; e.g., $|\mathbb{N}| = |\mathbb{Q}| = \aleph_0$. The cardinal number \aleph_0 or c of an infinite set X asserts that the set is countable or uncountable, respectively.
- The cardinal number of an infinite set is called a transfinite cardinal number.

Proposition

No is the smallest transfinite cardinal number.



Continuum Hypothesis

We have shown the existence of three distinct transfinite cardinal numbers \aleph_0 , c and 2^c s/t $\aleph_0 < c < 2^c$. We now state the following natural questions which are still unsolved:

Unsolved Problem 1 Does there exist any cardinal number α such that $\aleph_0 < \alpha < c$?

Unsolved Problem 2 Does there exist any cardinal number β such that $c < \beta < 2^c$?



The End

Thanks a lot for your attention!



