# Cryptographic Hash Functions: Design, Analysis & Applications

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## Outline



Introduction

- Types of Hash Functions
- Properties of Hash Functions

#### Most Commonly Used Hash Functions

- MD Family
- SHA Family
- What are the design criteria?
  - Iterated Hash Function
  - Analysis
  - Alternative Constructions
- SHA-3 Hash Function
  - Inside Keccak
  - Applications



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- Types of Hash Functions
- Properties of Hash Functions
- 2 Most Commonly Used Hash Functions
  - MD Family
  - SHA Family
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## Definition & Type



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### **Definition & Type**

- A function satisfies the following conditions:
  - (a) 'easy' to compute (efficient & deterministic algorithm)
  - taking an input of arbitrary length gives a fixed length of output



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#### Definition

The hash function is a function  $h : D \to R$  where  $D = \{0, 1\}^*$  and  $R = \{0, 1\}^n$  for some  $n \ge 1$ .

- Type of hash functions:
  - Perfect hash function
  - Minimal perfect hash function
  - Oryptographic hash function



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### Non-cryptographic Hash

#### Definition

Let  $D = \{d_0, d_1, \dots, d_{m-1}\}$  and  $R = \{r_0, r_1, \dots, r_{n-1}\}$  be sets with  $m \le n$ .

The hash function  $h : D \to R$  is called a perfect hash function (**PHF**), if for all  $x, y \in D$  and  $x \neq y \Rightarrow h(x) \neq h(y)$ .

In particular, if m = n, h is called a minimal perfect hash function (**MPHF**).



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## Cryptographic Hash

#### Definition

The (Cryptographic) hash function is a function  $h : D \to R$  where  $D = \{0, 1\}^*$  and  $R = \{0, 1\}^n$  for some  $n \ge 1$ .



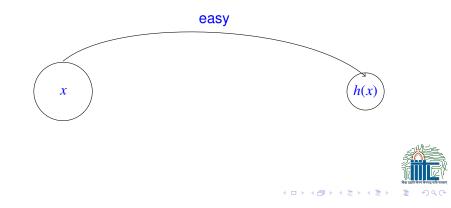




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# Ideal Cryptographic Hash

- Ease of computation: It is 'easy' to compute the hash value for any given message.
- Compression: It takes arbitrary length of input and gives a fixed length of output.
- Preimage resistance: It is infeasible to find a message that has a given hash.
- Second preimage resistance: It is infeasible to modify a message without changing its hash.
- Collision resistance: It is infeasible to find 2 different messages with the same hash.



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$$(i) - (iv) \Rightarrow OWHF, \quad (i) - (v) \Rightarrow CRHF$$

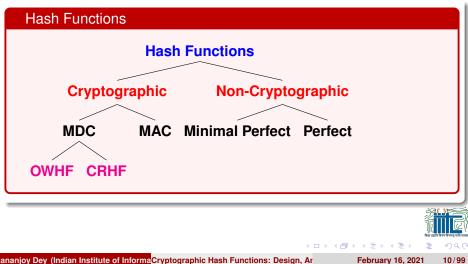
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# Ideal Cryptographic Hash

- Avalanche: Flipping 1 bit in an input would change approximately 50% the output bits.
- Near-collision resistance: It is computationally infeasible to find 2 input strings x and x' s/t h(x) and h(x') hardly differ.
- Partial-preimage resistance: It is computationally infeasible to find any substring of input string x for any given output string s even for any given distinct substring of input string x.
- Non-correlation: Input string x and output string h(x) are not correlated in any way.

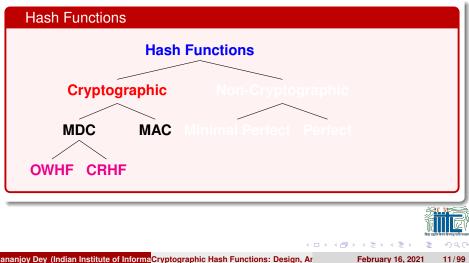


## Types of Hash Functions



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#### MAC

A MAC is a function h that satisfies the following:

- **Compress:** *x* can be of arbitrary length and h(k, x) has a fixed length of *n* bits, where *k* is a fixed length of  $\ell$  bits.
- Ease of computation: Given h, k and an input x, the computation of h(k, x) must be easy.
- Preimage resistance': Given a message x, it must be hard to determine h(k, x), when k is not given; even when a large set of pairs {x<sub>i</sub>, h(k, x<sub>i</sub>)} is known.



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#### Requirements

- Knowing a message and MAC, is infeasible to find another message with same MAC.
- MACs should be uniformly distributed.
- MAC should depend equally on all bits of the message.



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- MACs should be uniformly distributed.
- MAC should depend equally on all bits of the message.

#### Definition

A MAC is a function  $h : \mathcal{K} \times \mathcal{M} \to \mathcal{R}$ ,  $s/t \mathcal{K} = \{0, 1\}^{\ell}$  is the key space,  $\mathcal{M} = \{0, 1\}^*$  is the message space and  $\mathcal{R} = \{0, 1\}^n$  is the range, for some  $\ell, n \ge 1$ .



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### Required Output Length for a Hash Function

An *n*-bit hash function is said to have **ideal security** if the following conditions hold:



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## Required Output Length for a Hash Function

An *n*-bit hash function is said to have **ideal security** if the following conditions hold:

- The expected workload of generating *a collision* =  $2^{n/2}$ .
- Given a hash value, the expected workload of *finding a preimage*  $= 2^{n}$ .
- Given a message and its hash result, the expected workload of *finding a second preimage* =  $2^n$ .



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### Generic Algorithm: Pre-Image

- Model *H* as a uniform random function, i.e., on distinct inputs, the outputs of *H* are independent and uniformly distributed over {0, 1}<sup>n</sup>.
- Finding pre-image: input y.



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- Choose *M*; compute H(M); if H(M) = y, return *M*.



### Generic Algorithm: Pre-Image

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- Finding pre-image: input y.
- Choose *M*; compute H(M); if H(M) = y, return *M*.
- Probability of success:  $Pr[H(M) = y] = 1/2^n$ .
- Expected number of trials: 2<sup>n</sup>.
- Similarly, for finding 2<sup>nd</sup> pre-image, the expected number of trials is also 2<sup>n</sup>.



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## Generic Algorithm: Collision

#### **Birthday Attack**

#### Problem

Let there be m + 1 people { $P_1, P_2, ..., P_{m+1}$ } in a room. What should be the value of m so that the probability that atleast one of the persons { $P_2, P_3, ..., P_{m+1}$ } shares birthday with  $P_1$  is greater than  $\frac{1}{2}$ ?



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# Generic Algorithm: Collision

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#### Problem

How many people must be there in a room, so that the probability of atleast 2 of them sharing the same birthday is greater than  $\frac{1}{2}$ ?



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### Generic Algorithm: Collision

- Choose distinct  $M_1, M_2, \cdots, M_q$ ;
- compute  $y_1 = H(M_1), y_2 = H(M_2), \dots, y_q = H(M_q);$
- if  $y_i = y_j$ , return  $M_i, M_j$ .



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 $Pr[Coll] = 1 - Pr[Distinct(y_1, \dots, y_q)].$ 



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 $Pr[Coll] = 1 - Pr[Distinct(y_1, \dots, y_q)].$ 

 $Pr[Distinct(y_1, \cdots, y_q)] =$ 

• Using standard approximations and simplifications, for  $q \approx 2^{n/2}$ , a collision occurs with constant probability.



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 $\left(1-\frac{1}{2^n}\right)\times\cdots\times\left(1-\frac{q-1}{2^n}\right)$ 

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#### • If one can find $2^{nd}$ pre-images, then one can find collisions.



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• If one can find  $2^{nd}$  pre-images, then one can find collisions.

- Suppose  $\mathcal{A}$  is an algorithm to find  $2^{nd}$  pre-images.
- take an arbitrary  $x_1$ ;
- apply  $\mathcal{A}$  on  $x_1$  to find a  $2^{nd}$  pre-image  $x_2$ ;
- return  $x_1$  and  $x_2$ .



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- return  $x_1$  and  $x_2$ .
- Collision resistance  $\Rightarrow 2^{nd}$  pre-image resistance.
- Collision resistance ⇒ pre-image resistance.



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- No clear deterministic relation between finding pre-images and finding collisions.
- There is, however, a probabilistic relation.



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- No clear deterministic relation between finding pre-images and finding collisions.
- There is, however, a probabilistic relation.
  - Suppose *B* is an algorithm to find pre-images.
  - take an arbitrary x<sub>1</sub>;
  - compute  $y = H(x_1)$ ;
  - apply  $\mathcal{B}$  on y to find a pre-image  $x_2$ ;
  - return  $x_1$  and  $x_2$ .
- Under some assumptions,  $x_2$  is different from  $x_1$  with significant probability.

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### Outline



- Types of Hash Functions
- Properties of Hash Functions

#### Most Commonly Used Hash Functions

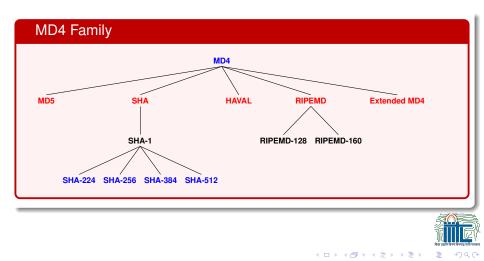
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**MD** Family

## **MD4** Family



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### • MD4

• -> 3 rounds of 16 steps, output bit-length is 128.

• MD5

• -> 4 rounds of 16 steps, output bit-length is 128.

Designed by Ron Rivest in 1991 & 1992 rsp



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• SHA-1

• -> 4 rounds of 20 steps, output bit-length is 160.

Designed by NIST in 1995 (FIPS-180-1)



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### • **RIPEMD-160**

-> 5 rounds of 16 steps, output bit-length is 160.

Designed by Dobbertin, Bosselaers & Preneel in 1995 (RIPE-RACE 1040)



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### • **RIPEMD-160**

-> 5 rounds of 16 steps, output bit-length is 160.

Designed by Dobbertin, Bosselaers & Preneel in 1995 (RIPE-RACE 1040)

### • SHA-2

-> Produces various output bit-lengths: 224, 256, 384 and 512

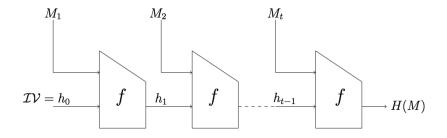


Designed by NIST in 2002 (FIPS-180-2)

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# Merkle-Damgård



### $M||Pad(M) = M_1||M_2||\cdots||M_t$



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# MD5 Hash

## Padding

М	1	k number of 0 bits	64 bits for len.
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### **Word Permutation**



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# MD5 Hash

## Algorithm

$$b \leftarrow b + rotl_{r_t}(a + f_t(b, c, d) + K_t + W_{p(t)})$$

$$a \leftarrow d$$

$$d \leftarrow c$$

$$c \leftarrow b$$

$$h_0^{(i)} = a + h_0^{(i-1)}, \, h_1^{(i)} = b + h_1^{(i-1)}, \, h_2^{(i)} = c + h_2^{(i-1)}, \, h_3^{(i)} = d + h_3^{(i-1)}$$



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# MD5 Hash

### **Round Functions**

$$\begin{array}{rcl} f_t(x,y,z) &=& (x\wedge y)\vee (\neg x\wedge z) & 0\leq t\leq 15\\ f_t(x,y,z) &=& (x\wedge z)\vee (y\wedge \neg z) & 16\leq t\leq 31\\ f_t(x,y,z) &=& x\oplus y\oplus z & 32\leq t\leq 47\\ f_t(x,y,z) &=& y\oplus (x\vee \neg z) & 48\leq t\leq 63 \end{array}$$

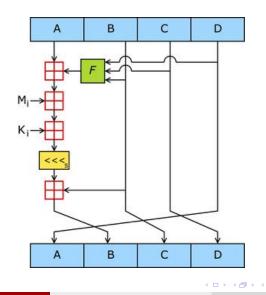
## **Round Constants**

 $K_t = first \ 32 \ bits \ of \ binary \ value \ of \ |sin(t+1)|, \quad 0 \le t \le 63$ 



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# Step Transformation of MD5





# **Description of SHA-1**

## Padding

М	1	k number of 0 bits	64 bits for len.
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### **Message Expansion**

$$W_t = M_t^{(i)} \qquad \qquad 0 \le t \le 15$$

 $W_t = rotl^1(W_{t-3} \oplus W_{t-8} \oplus W_{t-14} \oplus W_{t-16}) \quad 16 \le t \le 79$ 



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Image: A math

### SHA Family

# **Description of SHA-1**

### **Round Operation of Compression Function**

$$T \leftarrow rotl^{5}(a) + f_{t}(b, c, d) + e + K_{t} + W_{t}$$

$$e \leftarrow d$$

$$d \leftarrow c$$

$$c \leftarrow rotl^{30}(b)$$

$$b \leftarrow a$$

$$a \leftarrow T$$

$$\begin{aligned} h_0^{(i)} &= a + h_0^{(i-1)}, \, h_1^{(i)} = b + h_1^{(i-1)}, \, h_2^{(i)} = c + h_2^{(i-1)}, \, h_3^{(i)} = d + h_3^{(i-1)}, \\ h_4^{(i)} &= e + h_4^{(i-1)}. \end{aligned}$$



# **Description of SHA-1**

### **Additive Constants**

 $K_t$ =0x5a827999,<br/>0  $\le t \le 19$  $K_t$ =0x6ed9eba1,<br/>0  $\le t \le 39$  $K_t$ =0x8f1bbcdc,<br/>0  $\le t \le 59$  $K_t$ =0xca62c1d6,<br/>0  $\le t \le 79$ 

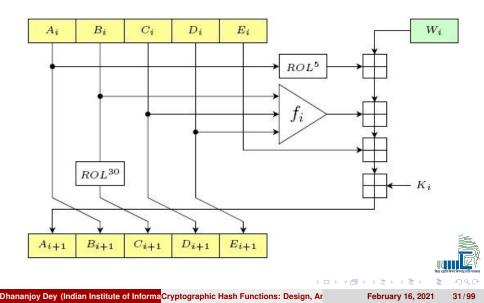
## **Round Functions**

$$\begin{array}{rcl} f_t(x,y,z) &=& (x \wedge y) \lor (\neg x \wedge z) & 0 \le t \le 19 \\ f_t(x,y,z) &=& (x \oplus y \oplus z) & 20 \le t \le 39 \\ f_t(x,y,z) &=& (x \wedge y) \lor (y \wedge z) \lor (z \wedge x) & 40 \le t \le 59 \\ f_t(x,y,z) &=& (x \oplus y \oplus z) & 60 \le t \le 79 \end{array}$$



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# Step Transformation of SHA-1



**SHA Family** 

# **Description of SHA-256**

## Padding

М	1	k number of 0 bits	64 bits for len.
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### **Message Expansion**

 $W_t = M_t^{(i)} \qquad 0 \le t \le 15$ 

 $W_t = \sigma_1(W_{t-2}) + W_{t-7} + \sigma_0(W_{t-15}) + W_{t-16} \quad 16 \le t \le 63$ 

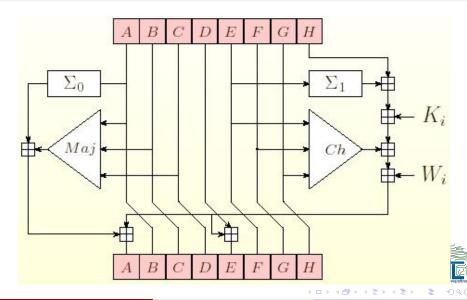
 $\sigma_0(x) = Rotr_7(x) \oplus Rotr_{18}(x) \oplus Shr_3(x)$ 

 $\sigma_1(x) = Rotr_{17}(x) \oplus Rotr_{19}(x) \oplus Shr_{10}(x)$ 





# Step Transformation of SHA-256



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# Round Operation of Compression Function of SHA-256

- $T_1 \leftarrow H + \Sigma_1(E) + Ch(E, F, G) + K_t + W_t$
- $T_2 \leftarrow \Sigma_0(A) + Maj(A, B, C)$
- $H \leftarrow G$
- $G \leftarrow F$
- $F \leftarrow E$
- $E \leftarrow D + T_1$
- $D \leftarrow C$
- $\begin{array}{rcccc} C & \leftarrow & B \\ B & \leftarrow & A \end{array}$
- $A \leftarrow T_1 + T_2$



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# Round Operation of Compression Function of SHA-256

- $\Sigma_0(x) = Rotr_2(x) \oplus Rotr_{13}(x) \oplus Rotr_{22}(x)$
- $\Sigma_1(x) = Rotr_6(x) \oplus Rotr_{11}(x) \oplus Rotr_{25}(x)$
- $Ch(x, y, z) = (x \land y) \lor (\neg x \land z)$
- $Maj(x, y, z) = (x \land y) \lor (y \land z) \lor (z \land x)$



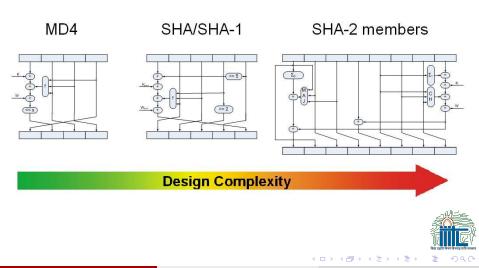
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### SHA Family

# **Evolution of MD4**



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# Standard Hash Functions at a Glance

Name	Block Size (bits)	Word Size (bits)	Output Size (bits)	Rounds	Year of the Standard
<b>MD</b> 5	512	32	128	64	1992
RIPEMD	512	32	128	48	1992
SHA-0	512	32	160	80	1993
SHA-1	512	32	160	80	1995
RIPEMD-128	512	32	128	64	1995
RIPEMD-160	512	32	160	80	1997
SHA-256	512	32	256	64	2002
SHA-384	1024	64	384	80	2002
SHA-512	1024	64	512	80	2002
SHA-224	512	32	224	64	2004
SHA-3	1600	64	224, 256, 384, 512	24	2015



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Image: A mail

# SHA Family

### Secure Hash Standard

- SHA-1 (32-bit)
- SHA-224 & SHA-256 Functions (32-bit)
- SHA-384, SHA-512, SHA-512/224 & SHA-512/256 Functions (64-bit)

## NIST,

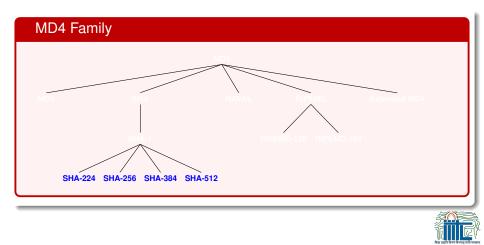
Secure Hash Standard (SHS), FIPS PUB 180-4, 2012.



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# Hash Stew

Pour the initial value in a big cauldron and place it over a nice fire. Now slowly add salt if desired and stir well. Marinade your input bit string by appending some strengthened padding. Now chop the resulting bit string into nice small pieces (512-bit) of the same size and stretch each piece to at least 4 times its original length. Slowly add each single piece while continually stirring at the speed given by rotation constants and spicing it up with some addition constants. When the hash stew is ready, extract a nice portion of at least 224 bits <sup>1</sup> and present this hash value on warm with some garnish.



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<sup>1</sup>Earlier it was 160 bits

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<sup>1</sup>Earlier it was 160 bits

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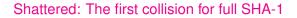
# Hash Stew

Pour the initial value in a big cauldron and place it over a nice fire. Now slowly add salt if desired and stir well. Marinade your input bit string by appending some strengthened padding. Now chop the resulting bit string into nice small pieces (512-bit) of the same size and stretch each piece to at least 4 times its original length. Slowly add each single piece while continually stirring at the speed given by rotation constants and spicing it up with some addition constants. When the hash stew is ready, extract a nice portion of at least 224 bits <sup>1</sup> and present this hash value on warm with some garnish.

··· Marc Stevens

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<sup>1</sup>Earlier it was 160 bits

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# **Recommended Hash Functions**

	Output	Recommendation		
Primitive	Length	Legacy	Future	
SHA-2	256, 384, 512	$\checkmark$	$\checkmark$	
SHA3	256, 384, 512	$\checkmark$	$\checkmark$	
Whirlpool	512	$\checkmark$	$\checkmark$	
SHA3	224	$\checkmark$	×	
SHA-2	224	$\checkmark$	×	
RIPEMD-160	160	$\checkmark$	×	
SHA-1	160	×	×	
MD-5	128	×	×	
RIPEMD-128	128	×	×	

Algorithms, key size and parameters report – 2014 www.enisa.europa.eu

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# **Recommended Hash Functions**

- Legacy × Attack exists or security considered not sufficient. Mechanism should be replaced in Fielded products as a matter of urgency.
- Legacy ✓ No known weaknesses at present. Better alternatives exist. Lack of security proof or limited key size.
- Future ✓ Mechanism is well studied (often with security proof). Expected to remain secure in 10-50 year lifetime.



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# **Outdated MD5**

# A quarter of major CMSs use outdated MD5 as the default password hashing scheme

Offenders include WordPress, osCommerce, SuiteCRM, miniBB, SugarCRM, and others.



https://www.zdnet.com/article/

a-quarter-of-major-cmss-use-outdated-md5-as-the-default-password-hashing-scheme/



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# Outline



- Types of Hash Functions
- Properties of Hash Functions

## 2 Most Commonly Used Hash Functions

- MD Family
- SHA Family

## What are the design criteria?

- Iterated Hash Function
- Analysis
- Alternative Constructions
- SHA-3 Hash FunctionInside Keccak
- Applications



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What are the design criteria?

# How to Build a Hash Function



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# How to Build a Hash Function

- Design a compression function (a black box that accepts *n* + *b*-bit & produces *n*-bit).
- Find a good mode of iteration (a way to handle messages of length longer or shorter than n + b-bit).
- Combine the two.



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# How to Build a Hash Function

- Design a compression function (a black box that accepts *n* + *b*-bit & produces *n*-bit).
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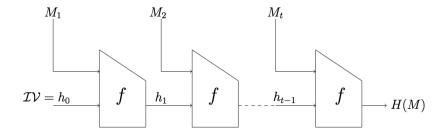
## **Merkle-Damgård Construction**



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# Merkle-Damgård Construction



### $M||Pad(M) = M_1||M_2||\cdots||M_t$



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### **Iterated Hash Function**

# Iterative hash function

- *Compression function* is a function  $f : \mathcal{D} \to \mathcal{R}$ , where  $\mathcal{D} = \{0, 1\}^a \times \{0, 1\}^b$  &  $\mathcal{R} = \{0, 1\}^c$  for some  $a, b, c \ge 1$  with  $(a + b) \ge c$ .
- *Output transformation* is a function  $g : \mathcal{D} \to \mathcal{R}$ , where  $\mathcal{D} = \{0, 1\}^a \& \mathcal{R} = \{0, 1\}^n$  for some  $a, n \ge 1$  with  $a \ge n$ .



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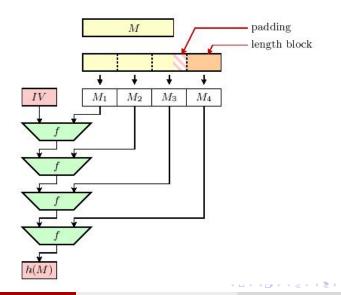
#### Iterated Hash Function

# Iterative hash function

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- Iterative hash function  $h: (\{0,1\}^b)^* \to \{0,1\}^n$  defined by  $h(X_0 \dots X_{t-1}) = g(H_t)$ , where  $H_{i+1} = f(H_i, X_i)$  for  $0 \le i \le t-1$  and the chaining value  $H_0 = I \mathcal{W} \in \{0,1\}^c$ .



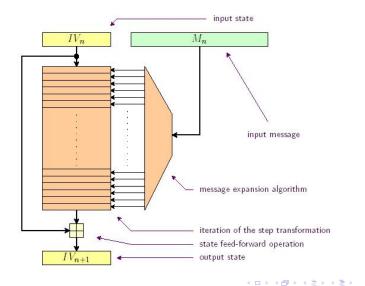
# Iterative hash function





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# MD & SHA





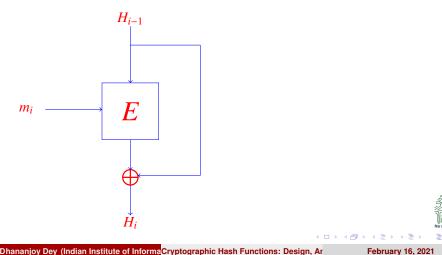
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# **Compression Function Mode**

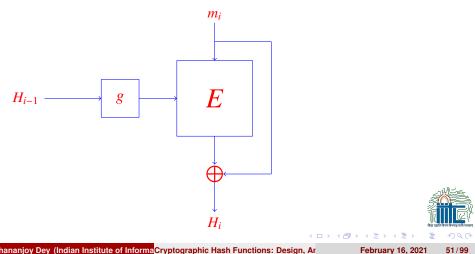
#### **Davis-Meyer Construction**



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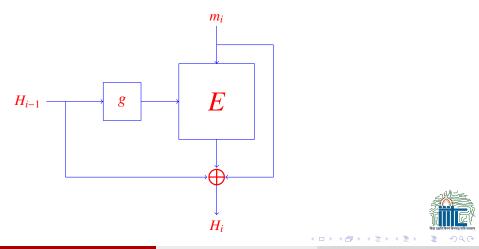
# **Compression Function Mode**

#### Matyas-Meyer-Oseas



# **Compression Function Mode**

#### **Miyaguchi-Preneel**



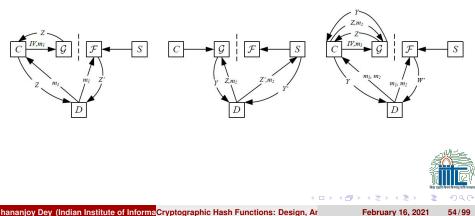
# Security of Iterative Hash Function

- The choice of initial value i.e. IV
  - If *IV* is not fixed, collision can be found.
- The choice of padding rule
  - If padding procedure does not include length of the input, fixed point attack is possible.



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#### **Indifferentiability Attack**



#### Length Extension Attack

- Given h(m) and length of the message m.
- m is not known.
- One can compute h(m||m').



#### Length Extension Attack

- Given *h*(*m*) and length of the message *m*.
- m is not known.
- One can compute h(m||m').

The HMAC construction works around these problems.

 $HMAC_k(X) = h((k \oplus opad) || h((k \oplus ipad) || X))$ 



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#### One collision $\implies$ Infinitely many collisions.



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#### One collision $\implies$ Infinitely many collisions.

Suppose h(m) = h(m'), where  $m \neq m' \& |m| = |m'|$ 

 $\implies h(m||x) = h(m'||x), \quad \forall x.$ 

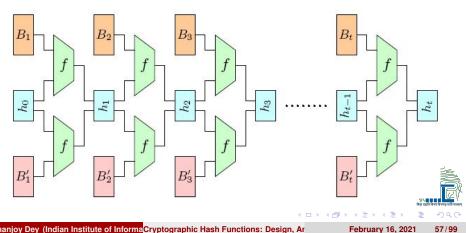


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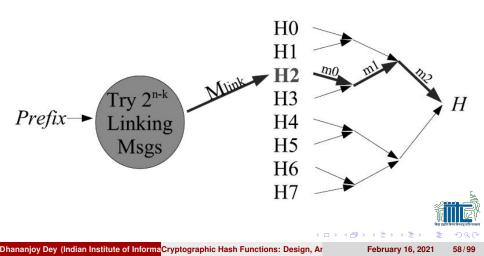
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#### t compression function collisions $\implies 2^t$ – multicollision



#### **Herding Attack**



#### **Herding Attack**

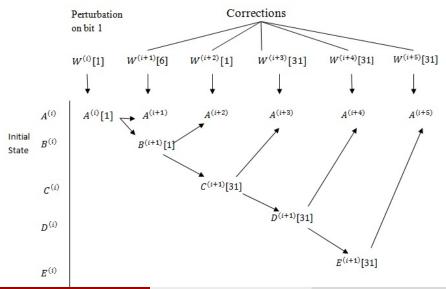
Hash	output	diamond	suffix length	work
Function	size	width(k)	(blocks)	
MD5	128	41	48	2 <sup>87</sup>
SHA-1	160	52	59	2 <sup>108</sup>
SHA-256	256	84	92	2 <sup>172</sup>

J. Kelsey & T. Kohno, Herding Hash Functions and the Nostradamus Attack, EUROCRYPT'06, LNCS 4004



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## Differential Attack of Chabaud & Joux



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# Attacking Step Reduced SHA-2 Family

#### **Cross Dependence Equation**

$$E_i = A_i + A_{i-4} - \sum_{0} (A_{i-1}) - Maj(A_{i-1}, A_{i-2}, A_{i-3}).$$



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## Attacks on Standard Hash Functions

Hash			Attack	
	Author	Туре	Complexity	Year
MD4	Dobbertin	collision	2 <sup>22</sup>	1996
	Wang et. al.	collision	2 <sup>8</sup>	2005
	dan Boer & Bosselaers	pseudo-collision	2 <sup>16</sup>	1993
MD5	Dobbertin	free-start	234	1996
	Wang et. al.	collision	2 <sup>39</sup>	2005
	Chabaud & Joux	collision	2 <sup>61</sup> (theory)	1998
	Biham & Chen	near-collision	2 <sup>40</sup>	2004
SHA-0	Biham et. al.	collision	251	2005
	Wang et. al.	collision	2 <sup>39</sup>	2005
	Biham et. al.	collision (40 rounds)	very low	2005
	Biham et. al.	collision (58 rounds)	2 <sup>75</sup> (theory)	2005
SHA-1	Wang et. al.	collision (58 rounds)	233	2005
	Wang et. al.	collision	263 (theory)	2005
	Stevens et. al.	collision	< 2 <sup>63.1</sup> (practical)	2017



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Analysis

#### Attacks on Standard Hash Functions

Hash	Attack			
	Author	Туре	Complexity	Year
SHA-256	Sarkar et. al.	collision(24 rounds)	2 <sup>15.5</sup>	2008
	Sasaki et. al.	preimage(41-step)	$2^{253.5}$	2009
SHA-512	Sarkar et. al.	collision(24 rounds)	$2^{22.5}$	2008
	Sasaki et. al.	preimage(46-step)	$2^{511.5}$	2009

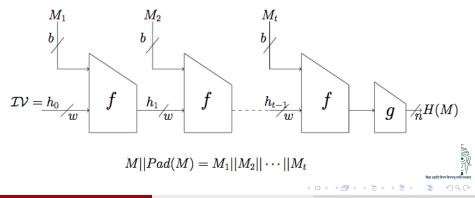


## Widepipe/ChopMD

- S. Lucks proposed this design in 2005.
- Designed the hash functions using two compression functions

**b** 
$$f: \{0, 1\}^{w+b} \to \{0, 1\}^{w}$$

**1** 
$$g: \{0, 1\}^w \to \{0, 1\}^n$$
, where  $w > n$ .



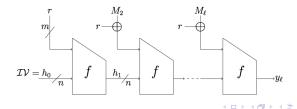
#### **Alternative Constructions**

# **Randomised Hashing**

- This was proposed by Halevi and Krawczyk in 2006.
- Designed to strengthen the MD construction.
- Introduced two ways to design this
  - **(1)** Each message block  $M_i$  is XORed with a random block r

 $h_{i+1} := f(h_i, M_i \oplus r).$ 

Used a random block r as prefix of the message while still performing XOR with r for all message blocks.

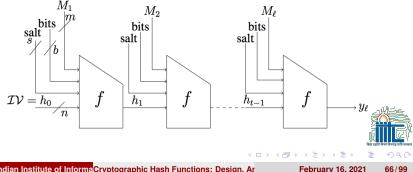




# HAIFA (HAsh Iterative FrAmework)

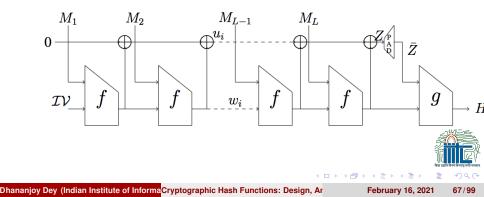
- It was proposed by Biham and Dunkelman in 2006.
- Compression function  $f: \{0, 1\}^{n+m+b+s} \rightarrow \{0, 1\}^n$ 2

 $h_{i+1} := f(h_i || M_i || \# bits || salt)$ 

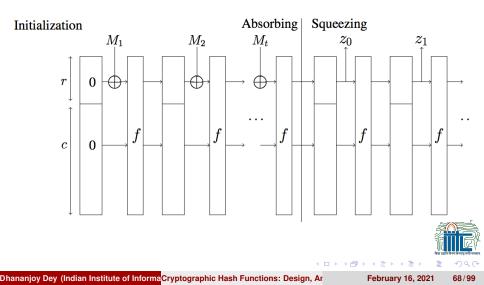


## **3C Constructions**

- Gauravaram proposed this designs in 2006.
- Aimed at strengthening the Merkle-Damgård construction against multi-block collision attacks.



# Sponge Construction



# Outline



- Types of Hash Functions
- Properties of Hash Functions
- 2 Most Commonly Used Hash Functions
  - MD Family
  - SHA Family
- 3 What are the design criteria?
  - Iterated Hash Function
  - Analysis
  - Alternative Constructions

#### SHA-3 Hash Function

- Inside Keccak
- Applications



## **Requirements for SHA-3**

- Plug-compatible with SHA-2 in current applications
- Support digests of 224, 256, 384, and 512 bits,
- Support messages of at least 2<sup>64</sup> bits
- Support digital signatures, hash-based MACs, PRFs, RNGs, KDFs, etc.
- Required security properties



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- Support digital signatures, hash-based MACs, PRFs, RNGs, KDFs, etc.
- Required security properties
  - Collision resistance of approximately n/2 bits,
  - Preimage resistance of approximately *n* bits,
  - 2nd-preimage resistance of approximately n − k bits for any message shorter than 2<sup>k</sup> bits,
  - Resistance to length-extension attacks.

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# Time Line of Major Events

- 31 Oct 08 : SHA-3 Submission Deadline.
- 09 Dec 08 : Announced 51 First round candidates
  - 24 Jul 09 : Announced 14 Second round candidates
- 09 Dec 10 : Announced 5 Third round candidates
- 02 Oct 12 : Announced the winner Keccak
- 31 May 2014 : Published draft of FIPS 202
  - 5 Aug 2015 : SHA-3 Standardised, FIPS-202: Permutation based hash and Extendable-output functions (XOFs). SHA3-224, SHA3-256, SHA3-384, SHA3-512, SHAKE128 and SHAKE256.



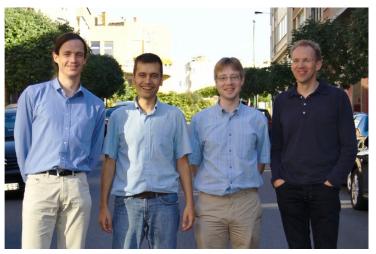
## Final Round of SHA-3

Algorithm Name	Principal Submitter
BLAKE	Jean-Philippe Aumasson
Grøstl	Lars Ramkilde Knudsen
JH	Hongjun Wu
Keccak	Joan Daemen
Skein	Bruce Schneier



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#### Keccak Team





(L to R) Michaël Peeters, Guido Bertoni, Gilles Van Assche and Joan Daemen

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• NIST chose Keccak over the 4 other excellent finalists for its



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NIST chose Keccak over the 4 other excellent finalists for its

- elegant design,
- large security margin,
- good general performance,
- excellent efficiency in hardware implementations and for its flexibility.



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NIST chose Keccak over the 4 other excellent finalists for its

- elegant design,
- large security margin,
- good general performance,
- excellent efficiency in hardware implementations and for its flexibility.
- Keccak uses a new "sponge construction" chaining mode, based on a fixed permutation, that can readily be adjusted to trade generic security strength for throughput, and can generate larger or smaller hash outputs as required.



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- excellent efficiency in hardware implementations and for its flexibility.
- Keccak uses a new "sponge construction" chaining mode, based on a fixed permutation, that can readily be adjusted to trade generic security strength for throughput, and can generate larger or smaller hash outputs as required.
- The Keccak designers have also defined a modified chaining mode for Keccak that provides authenticated encryption.



- Keccak family of hash functions are based on the sponge construction.
- They use as a building block a permutation from a set of 7 permutations {*viz.*, 25, 50, 100, 200, 400, 800, 1600}.

Algorithm	Rate	Capacity	Depth
	( <i>r</i> )	<i>(c)</i>	<i>(d)</i>
Keccak-224	1152	448	28
Keccak-256	1088	512	32
Keccak-384	832	768	48
Keccak-512	576	1024	64



# XOFs: Extendable-Output Functions

- In Fips-202, SHA-3 family consists of six functions.
- Four cryptographic hash functions called SHA3-224, SHA3-256, SHA3-384 and SHA3-512 with two extendable-output functions called SHAKE128 and SHAKE256 which are



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# XOFs: Extendable-Output Functions

- In Fips-202, SHA-3 family consists of six functions.
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  - the first XOFs that NIST have standardised
  - specialized to hash functions in which the output can be extended to any desired length
  - "128" and "256" indicate the security strength in SHAKE128 and SHAKE256



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# XOFs: Extendable-Output Functions

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https://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.202.pdf

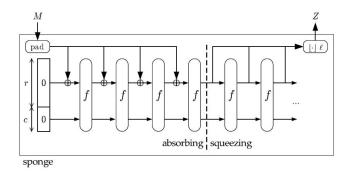


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Inside Keccak

## The sponge construction



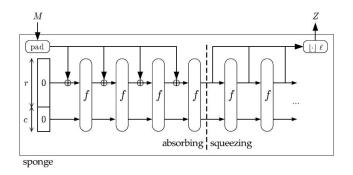
#### • More general than a hash function:



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#### The sponge construction



- More general than a hash function: arbitrary-length output
- Calls a *b*-bit permutation f, with b = r + c
  - r bits of rate
  - c bits of capacity (security parameter)





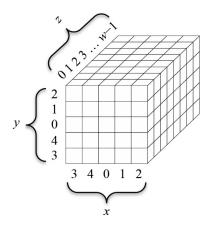
### Keccak

- Instantiation of a sponge function
- the permutation Keccak-f
  - 7 permutations: *b* ∈ {25, 50, 100, 200, 400, 800, 1600}
- Security-speed trade-offs using the same permutation, e.g.,
  - SHA-3 instance: r = 1088 and c = 512
    - permutation width: 1600
    - security strength 256: post-quantum sufficient
  - Lightweight instance: r = 40 and c = 160
    - permutation width: 200
    - security strength 80: same as SHA-1

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#### The state: an array of $5 \times 5 \times 2^{\ell}$ bits



- $5 \times 5$  lanes, each containing  $2^{\ell}$  bits (1, 2, 4, 8, 16, 32 or 64)
- $(5 \times 5)$ -bit slices,  $2^{\ell}$  of them

https://summerschool-croatia.cs.ru.nl/2015/SHA3.pdf

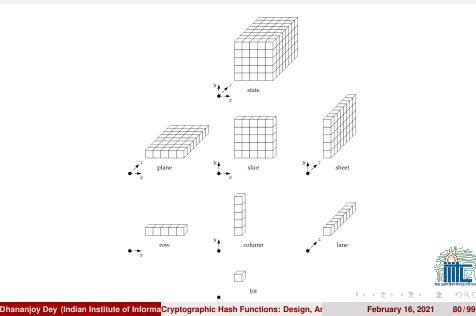
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#### Pieces of State in Keccak



#### Keccak-f summary

#### Round function:

 $R = \iota \circ \chi \circ \pi \circ \rho \circ \theta$ 

#### • Number of rounds: $12 + 2\ell$

Keccak-f[25] has



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#### Keccak-f summary

#### Round function:

 $R = \iota \circ \chi \circ \pi \circ \rho \circ \theta$ 

#### • Number of rounds: $12 + 2\ell$

- Keccak-f[25] has 12 rounds
- Keccak-f[1600] has



### Keccak-*f* summary

#### Round function:

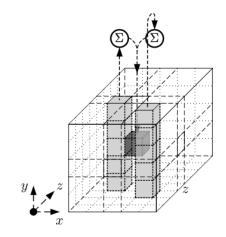
 $R = \iota \circ \chi \circ \pi \circ \rho \circ \theta$ 

#### • Number of rounds: $12 + 2\ell$

- Keccak-f[25] has 12 rounds
- Keccak-f[1600] has 24 rounds



### Diffusion of $\theta$



The effect of θ is to XOR each bit in the state with the parities of two columns in the array https://keccak.team/figures.html

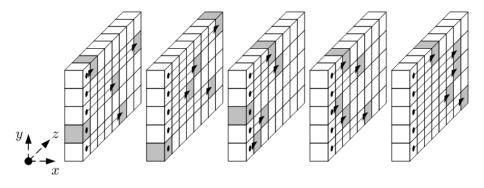


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Inside Keccak

### $\rho$ for inter-slice dispersion



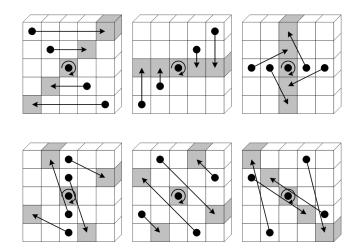
The effect of  $\rho$  is to rotate the bits of each lane by a length



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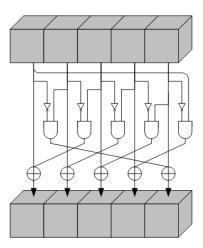
### $\pi$ for disturbing horizontal/vertical alignment





#### The effect of $\pi$ is to rearrange the positions of the lanes

 $\chi$  – the nonlinear mapping in Keccak-f



The effect of  $\chi$  is to XOR each bit with a non-linear function of two other bits in its row

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#### Inside Keccak

### ι to break symmetry

- XOR of round-dependent constant to lane in origin
- Without *i*, the round mapping would be symmetric
- Without *i*, all rounds would be the same
- Without *i*, we get simple fixed points
- The effect of ι is to modify some of the bits of Lane(0, 0) in a manner that depends on the round index. The other 24 lanes are not affected by ι.



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### Outline



- Types of Hash Functions
- - MD Family
  - SHA Family
- - Analysis
  - Alternative Constructions
- SHA-3 Hash Function Inside Keccak





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- Truncated Message Digest
- Digital Signatures
- Message Authentication Codes (MAC)



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- Truncated Message Digest
- Digital Signatures
- Message Authentication Codes (MAC)
- Key Derivation Functions (KDF)
- Pseudo-Random Bit Generation (PRBG)



- Truncated Message Digest
- Digital Signatures
- Message Authentication Codes (MAC)
- Key Derivation Functions (KDF)
- Pseudo-Random Bit Generation (PRBG)
- Quynh Dang, Recommendation for Applications Using Approved Hash Algorithms, NIST SP 800-107, 2012.



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### SHA-3 Derived Functions

NIST recommended four types of SHA-3 derived functions which are mentioned as follows:

- **cSHAKE:** customizable variant of SHAKE function
- KMAC: Keccak Message Authentication Code
- **TupleHash:** a variable-length hash function designed to hash tuples of input strings without trivial collisions
- **ParallelHash:** a variable-length hash function that can hash very long messages in parallel



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### SHA-3 Derived Functions

NIST recommended four types of SHA-3 derived functions which are mentioned as follows:

- **cSHAKE:** customizable variant of SHAKE function
- KMAC: Keccak Message Authentication Code
- **TupleHash:** a variable-length hash function designed to hash tuples of input strings without trivial collisions
- **ParallelHash:** a variable-length hash function that can hash very long messages in parallel



- Regular hashing
- Salted hashing
- Mask generation function
- Message authentication codes
- Stream cipher
- Single pass authenticated encryption

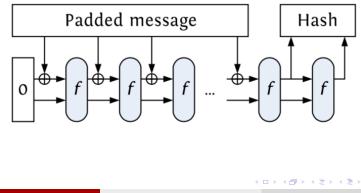


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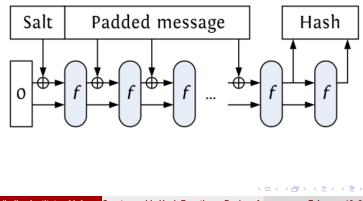
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#### **Regular hashing**

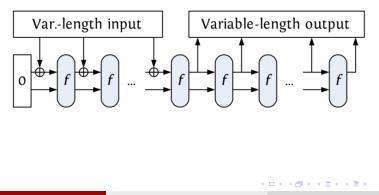




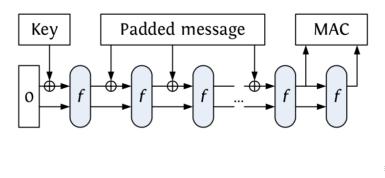
#### Salted hashing



#### Mask generation function



#### MAC



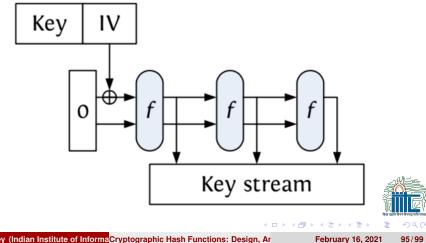


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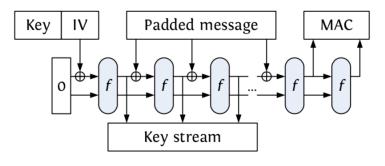
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#### Stream cipher



#### Single pass authenticated encryption

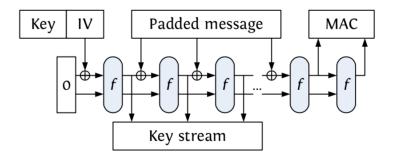




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#### Single pass authenticated encryption



All the pictures related to Applications are taken from the presentation slide of Kernet Team

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# Thanks a lot for your attention and QUESTIONS Please!



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