Mathematics for Cryptography

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Outline

- Maths for Symmetric/Private Key Crypto
 - Algebra
 - Rings
 - Finite Fields
- Maths for Asymmetric/Public Key Crypto
 - Number Theory
 - Primality Testing



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4/53

Definition

- **1** Let G be a non-empty set with a binary operation ∘ defined on it. Then (G, \circ) is said to be a **groupoid** if ∘ is closed i.e. if ∘ : $G \times G \longrightarrow G$.
- A set G with an operation o is said to be a semigroup if G is a groupoid and o is associative.
- **⚠** A set G with an operation \circ is said to be a **monoid** if G is a semigroup and \exists an element $e \in G_m$ s/t $g.e = e.g = g \forall g \in G$.
- For each $x \in G$, \exists an element $y \in G$ s/t $y \circ x = x \circ y = e$. Usually, y is denoted by x^{-1} .

If G satisfies all the above, it is said to be a Group.

If $x \circ y = y \circ x \ \forall \ x, y \in G$, G is called abelian or commutative group.



- \bigcirc $(\mathbb{Z},+)$
- $(\mathbb{Q},+),(\mathbb{Q},\cdot)$

- lacksquare (\mathbb{Z}_p,\cdot)



- A group G is finite if |G| or # G is finite. The number of elements in a finite group is called its *order*.
- A non-empty subset H of a group G is a **subgroup** of G if H is itself a group w.r.t. the operation of G. If H is a subgroup of G and $H \neq G$, then H is called a proper subgroup of G.
- A group G is *cyclic* if $\exists \alpha \in G$ s/t for each $\beta \in G$ \exists integer i with $\beta = \alpha^i$. Such an element α is called a *generator* of G.
- Let $\alpha \in G$. The *order* of α is defined to be the least positive integer t s/t $\alpha^t = e$, provided that such an integer exists. If such a t does not exist, then the order of α is defined to be ∞ .



Theorem

Lagrange's Theorem: If G is a finite group & H is a subgroup of G, then $\#H \mid \#G$.

Hence, if $a \in G$, the order of a divides #G.

- Every subgroup of a cyclic group is also cyclic.
 In fact, if G is a cyclic group of order n, then for each positive divisor d of n, G contains exactly one subgroup of order d.
- Let G be a group.
 - If the order of $a \in G$ is t, then the order of a^k is $\frac{t}{\gcd(t,k)}$.
 - If G is a cyclic group of order $n \& d \mid n$, then G has exactly $\phi(d)$ elements of order d. In particular, G has $\phi(n)$ generators.

Example

• Consider the multiplicative group $\mathbb{Z}_{19}^* = \{1, 2, \dots, 18\}$ of order 18.

Subgroup	Generators	Order
$(\{1\},\cdot)$	1	1
$(\{1, 18\}, \cdot)$	18	2
$(\{1,7,11\},\cdot)$	7, 11	3
$(\{1, 7, 8, 11, 12, 18\}, \cdot)$	8, 12	6
$(\{1,4,5,6,7,9,11,16,17\},\cdot)$	4, 5, 6, 9, 16, 17	9
$(\mathbb{Z}_{19}^*,\cdot)$	2, 3, 10, 13, 14, 15	18

② Consider the multiplicative group (\mathbb{Z}_{26}^* , ·)



Definition

A ring $(R, +, \times)$ consists of a set R with 2 binary operations arbitrarily denoted by '+' & '×' on R, satisfying the following conditions:

- lacksquare (R, +) is an abelian group with identity denoted '0'.
- The operation × is associative, i.e., $a \times (b \times c) = (a \times b) \times c \ \forall \ a,b,c \in R$.
- The operation \times is distributive over +, i.e.,
 - \bullet $a \times (b+c) = (a \times b) + (a \times c) &$
 - $\bullet (b+c) \times a = (b \times a) + (c \times a) \ \forall \ a,b,c \in R.$



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 - $\bullet (b+c) \times a = (b \times a) + (c \times a) \ \forall \ a,b,c \in R.$
 - The ring *R* is said to be **commutative ring** if $a \times b = b \times a \ \forall \ a, b \in R$.
 - The ring R is said to be ring with identity element if $\exists 1$ s/t $a.1 = 1.a = a \ \forall \ a \in R$.





- $(\mathbb{Z},+,\cdot)$
- \mathbb{Q} ($\mathbb{Z}_{26}, +, \cdot$)
- For a given value of n, the set of all $n \times n$ square matrices over \mathbb{R} under the operations of matrix addition and matrix multiplication constitutes a ring.



• If R is a commutative ring, then $a(\neq 0) \in R$ is said to be a **zero-divisor** it \exists a $b \in R \& b \neq 0$ s/t ab = 0.

$$R = \mathbb{Z}_{26}$$
; 2 & 13 are zero-divisors

 A commutative ring R is said to be an integral domain if it has no zero-divisors.

$$R = \mathbb{Z} \ or \ \mathbb{R}$$

• A ring R is said to be a **division ring** if $(R \setminus \{0\}, \cdot)$ forms a group.

$$R = \mathbb{Z}_p$$



- A non-empty subset I of R is said to be a (2-sided) ideal of R if
 - $(I,+) \leq (R,+)$
 - $u \in I \& r \in R$, both $ur \& ru \in I$
- An ideal $M(\neq R)$ in a ring R is said to be **maximal ideal** of R if whenever I is an ideal of R s/t $M \subseteq I \subseteq R$ then either R = I or M = I.
- An integral domain R with identity is a **principal ideal ring** if every ideal I in R is of the form $I = \langle \alpha \rangle$, $\alpha \in R$.



An affine cipher is a simple substitution where

$$f_{a,b}: \mathbb{Z}_{26} \to \mathbb{Z}_{26}$$

 $p_i \mapsto (a.p_i + b) \mod 26.$



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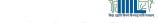
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An affine cipher is a simple substitution where

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Exercise

• Let $f_{(a,b)} \& f_{(c,d)}$ be two affine ciphers s/t

$$f_{(a,b)}(x) \equiv (a.x + b) \mod 26$$

$$f_{(c,d)}(x) \equiv (c.x+d) \mod 26$$

Is $f_{(a,b)} \circ f_{(a,b)}$ a stronger encryption scheme than $f_{(a,b)}$?

What is the key-space of an affine cipher?



Hill Cipher¹

Encryption key,

$$K = \left(\begin{array}{ccc} k_{11} & k_{12} & k_{13} \\ k_{21} & k_{22} & k_{23} \\ k_{31} & k_{32} & k_{33} \end{array}\right)$$



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• The plaintext letters p_1 , p_2 & p_3 encrypted into ciphertext letters c_1 , c_2 & c_3 by

$$\begin{pmatrix} c_1 \\ c_2 \\ c_3 \end{pmatrix} = \begin{pmatrix} k_{11} & k_{12} & k_{13} \\ k_{21} & k_{22} & k_{23} \\ k_{31} & k_{32} & k_{33} \end{pmatrix} \begin{pmatrix} p_1 \\ p_2 \\ p_3 \end{pmatrix}$$



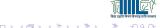
Hill cipher was developed by Lester S. Hill, an American mathematician.

$$Key = \left(\begin{array}{rrr} 10 & 1 & 14 \\ 11 & 9 & 4 \\ 5 & 22 & 9 \end{array}\right)$$



$$Key = \begin{pmatrix} 10 & 1 & 14 \\ 11 & 9 & 4 \\ 5 & 22 & 9 \end{pmatrix}$$

- Encrypt the plaintext ETE RNA LLI GHT
- The numerical form of the plaintext is 4 19 4 17 13 0 11 11 8 6 7 19
- The ciphertext is 11 23 6 1 18 7 1 16 5 21 23 17
 LXG BSH BQF VXR



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- For every prime power order p^m , there is a ! finite field of order p^m . This field is denoted by \mathbb{F}_{p^m} , or sometimes by $GF(p^m)$.
- For m = 1, \mathbb{F}_p or GF(p) is a field. If p is a prime then \mathbb{Z}_p is a field.

$$\mathbb{F}_p \cong GF(p) \cong \mathbb{Z}_p.$$



- Let \mathbb{F}_q be a finite field of order $q = p^m$.
 - Then every subfield of \mathbb{F}_q has order p^n , for some n which is a positive divisor of m.
 - Conversely, if n is a positive divisor of m, then there is exactly one subfield of \mathbb{F}_q of order p^n .



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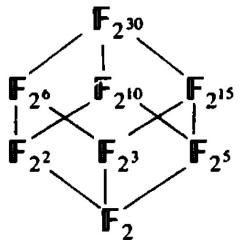
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- The non-zero elements of \mathbb{F}_q form a group under multiplication called the **multiplicative group** of \mathbb{F}_q , denoted by \mathbb{F}_q^* .
- \mathbb{F}_q^* is a cyclic group of order q-1. Hence $a^q=a, \ \forall \ a\in \mathbb{F}_q$.
- A generator of the cyclic group \mathbb{F}_q^* is called a **primitive element** or **generator** of \mathbb{F}_q .



Subfields of $\mathbb{F}_{2^{30}}$ and their relation:



Subfields of \mathbb{F}_{230} and their relation:

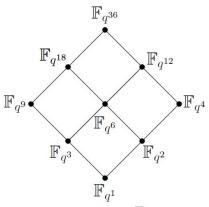




Subfields of $\mathbb{F}_{q^{36}}$ and their relation:



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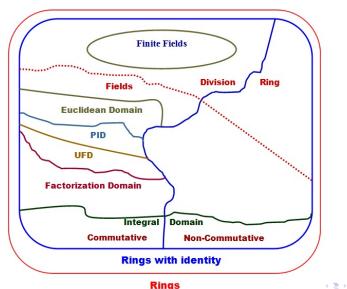




Types of Rings



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- First select an irreducible polynomial $f(x) \in \mathbb{Z}_p[x]$ of degree m.
- The ideal < f(x) > is a maximal ideal.
- Then $Z_p[x]/ < f(x) >$ is a finite field of order p^m .
- For each $m \ge 1$, \exists a monic irreducible polynomial of degree m over \mathbb{Z}_p .

Hence, every finite field has a polynomial basis representation.



Theorem

The number of monic irreducible polynomials in $\mathbb{F}_q[x]$ of degree n is given by

$$\frac{1}{n}\sum_{d|n}\mu(d)q^{n/d},$$

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Definition

The Möbius function μ is the function on \mathbb{N} defined by

$$\mu(n) = \begin{cases} 1 & \text{if } n = 1, \\ (-1)^k & \text{if } n \text{ is the product of } k \text{ distinct primes,} \\ 0 & \text{if } n \text{ is divisible by square of a prime.} \end{cases}$$



Computing Multiplicative Inverses in \mathbb{F}_{p^m}

Algorithm

Input: a non-zero polynomial $g(x) \in \mathbb{F}_{p^m}^a$.

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$$s(x)g(x) + t(x)f(x) = 1.$$

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2 Return(s(x)).

^aThe elements of the field \mathbb{F}_{p^m} are represented as $\mathbb{Z}_p[x]/< f(x)>$, where $f(x) \in \mathbb{Z}_p[x]$ is an irreducible polynomial of degree m over \mathbb{Z}_p .



Definition

An irreducible polynomial $f \in \mathbb{Z}_p[x]$ of degree m is called a **primitive polynomial** if α is a generator of $\mathbb{F}_{p^m}^*$, the multiplicative group of all the non-zero elements in $\mathbb{F}_{p^m} = \mathbb{Z}_p[x]/\langle f(x) \rangle$, where α is a root of the polynomial f(x) over its extension field.



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• The irreducible polynomial $f(x) \in \mathbb{Z}_p[x]$ of degree m is a primitive polynomial iff $f(x) \mid x^k - 1$ for $k = p^m - 1$ and for no smaller positive integer k.



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- For each $m \ge 1$, \exists a monic primitive polynomial of degree m over \mathbb{Z}_p . In fact, there are precisely $\frac{\phi(p^m-1)}{m}$ such polynomials.

• Addition (in the field $GF(2^8)$)

The sum of two elements is the polynomial with coefficients that are given by the sum modulo 2 of the coefficients of the two terms.



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$$(x^6 + x^4 + x^2 + x + 1) + (x^7 + x + 1) = x^7 + x^6 + x^4 + x^2 = D4$$



Multiplication

Multiplication in $GF(2^8)$ corresponds with multiplication of polynomials modulo an irreducible polynomial over GF(2) of degree 8. For Rijndael, the inventors selected the following irreducible polynomial

$$m(x) = x^8 + x^4 + x^3 + x + 1$$
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$$x^{13} + x^{11} + x^9 + x^8 + x^6 + x^5 + x^4 + x^3 + 1 \mod m(x)$$

$$= x^7 + x^6 + 1 = C1$$

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- Maths for Asymmetric/Public Key Crypto
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What is Number Theory?

Number theory is concerned mainly with the study of the properties (e.g., the divisibility) of the integers

$$\mathbb{Z} = \{\ldots, -3, -2, -1, 0, 1, 2, 3, \ldots, \},\$$

particularly the positive integers $Z^+ = \{1, 2, 3, ...\}$.





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For example, in divisibility theory, all positive integers can be classified into three classes:

- Unit: 1.
- 2 Prime numbers: 2, 3, 5, 7, 11, 13, 17, 19,
- **Omposite numbers:** 4, 6, 8, 9, 10, 12, 14, 15,





Famous Quotations Related to Number Theory

The great mathematician **Carl Friedrich Gauss** called this subject *arithmetic* and he said:

"Mathematics is the queen of sciences and arithmetic the queen of mathematics."



Famous Quotations Related to Number Theory

Prof G. H. Hardy

In the 1st quotation Prof Hardy is speaking of the famous Indian Mathematician Ramanujan. This is the source of the often made statement that Ramanujan knew each integer personally.

I remember once going to see him when he was lying ill at Putney. I had ridden in taxi cab number 1729 and remarked that number seemed to me rather dull one and that I hoped it was not an unfavorable omen. "No", he replied it is a very interesting number; it is the smallest number expressible as the sum of cubes of two integers in two different ways.





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- 2 Pure mathematics is on the whole distinctly more useful than applied. For what is useful above all is technique and mathematical technique is taught mainly through pure mathematics.



The Floor & Ceiling of a Real Number

Definition

1 The floor or the greatest integer function is defined as

$$\lfloor x \rfloor = max\{n \in \mathbb{Z} : n \le x\}$$

The ceiling or the least integer function is defined as

$$\lceil x \rceil = min\{n \in \mathbb{Z} : n \ge x\}$$

The nearest integer function is defined as

$$[x] = [x + 1/2]$$

Computational Number Theory

 $Computational\ Number\ Theory := Number\ Theory \oplus Computation\ Theory$

 \Downarrow

Primality Testing
Integer Factorization
Discrete Logarithms
Elliptic Curves
Conjecture Verification
Theorem Proving

Elementary Number Theory Algebraic Number Theory Combinatorial Number Theory Analytic Number Theory Arithmetic Algebraic Geometry Probabilistic Number Theory Applied Number Theory Computability Theory
Complexity Theory
Infeasibility Theory
Computer Algorithms
Computer Architectures
Quantum Computing
Biological Computing

:



• The Division Algorithm: If $a, b \in \mathbb{Z} \& b > 0$, then $\exists ! q \& r \in \mathbb{Z}$ s/t

$$a = q.b + r$$
, where $0 \le r < b$.

q is called the **quotient** and r is called the **remainder**.



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• Let $a, b \in \mathbb{Z}$. If $a \neq 0$ & $b \neq 0$, we define **greatest common divisor** or gcd(a, b) to be the largest integer d s/t $d \mid a$ & $d \mid b$. We define gcd(0,0) = 0.

Euclidean algorithm for computing the gcd(a,b)

Input: 2 non-negative integers

a & b, with $a \ge b$.

Output: gcd(a, b)

- While $(b \neq 0)$ do
 - Set $r \leftarrow a \mod b$, $a \leftarrow b$, $b \leftarrow r$.
- 2 Return(a)



Euclidean algorithm for computing the gcd(a,b)

gcd(4864, 3458)

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Euclidean algorithm for computing the gcd(a,b)

Input: 2 non-negative integers

a & b, with $a \ge b$.

Output: gcd(a, b)

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gcd(4864, 3458)

$$4864 = 1.3458 + 1406$$

$$3458 = 2.1406 + 646$$

$$1406 = 2.646 + 114$$

 $646 = 5.114 + 76$

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$$76 = 2.38 + 0.$$



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Bezout's Lemma

 $\forall a, b \in \mathbb{Z}, \exists s, t \in \mathbb{Z} \text{ s/t } gcd(a, b) = s.a + t.b$



Extended Euclidean algorithm

Input: 2 non-negative integers a & b, with $a \ge b$. **Output:** $d = gcd(a,b) \& x, y \in \mathbb{Z}$ s/t ax + by = d.

- If b = 0 then set $d \leftarrow a$, $x \leftarrow 1$, $y \leftarrow 0$, and return(d, x, y).
- 2 Set $x_2 \leftarrow 1$, $x_1 \leftarrow 0$, $y_2 \leftarrow 0$, $y_1 \leftarrow 1$.
- While (b > 0) do
 - $q \leftarrow \lfloor a/b \rfloor, \ r \leftarrow a qb, \ x \leftarrow x_2 qx_1, \ y \leftarrow y_2 qy_1.$
 - $a \leftarrow b, \ b \leftarrow r, \ x_2 \leftarrow x_1, \ x_1 \leftarrow x, \ y_2 \leftarrow y_1, \ \text{and} \ y_1 \leftarrow y.$
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Extended Euclidean algorithm

a = 4864, b = 3458

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a = 4864,	b =	3458
-----------	-----	------

q	r	x	y	a	b	x_2	x_1	y_2	y_1
-		-	7-7	4864	3458	1	0	0	1
1	1406	1	-1	3458	1406	0	1	1	-1
2	646	-2	3	1406	646	1	-2	-1	3
2	114	5	-7	646	114	-2	5	3	-7
5	76	-27	38	114	76	5	-27	-7	38
1	38	32	-45	76	38	-27	32	38	-45
2	0	-91	128	38	0	32	-91	-45	128

$$38 = 32.4864 - 45.3458$$



$$\bullet$$
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The set \mathbb{Z}_n and its properties

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Note that the multiplicative inverses exist for only those elements of $a \in \mathbb{Z}_n$ that are relatively prime to n, i.e., gcd(a, n) = 1

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- An integer $p \ge 2$ is said to be **prime** if its only positive divisors are 1 & p. Otherwise, p is called **composite**.
- There are an infinite number of prime numbers.
- If n > 1 is composite then n has a prime divisor $p \le \sqrt{n}$



Prime Numbers

Prime Number Theorem

Let $\pi(x)$ denote the number of prime numbers $\leq x$. Then

$$\lim_{x \to \infty} \frac{\pi(x)}{x/\log x} = 1$$



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Fundamental Theorem of Arithmetic

Every integer $n \ge 2$ has a factorization as a product of prime powers:

$$n = p_1^{e_1} p_2^{e_2} \cdots p_k^{e_k},$$

where the p_i are distinct primes, and the e_i are positive integers. Furthermore, the factorization is ! up to rearrangement of factors.



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Dhananjoy Dey (Indian Institute of Informa Mathematics for Cryptography January 20, 2021

Strong Prime Number

Definition

A prime p is called a strong prime if

- 0 p-1 has a large prime factor, say r,
- \bigcirc p + 1 has a large prime factor, and
- mathred r 1 has a large prime factor.



For $n \ge 1$, let $\phi(n)$ denote the number of integers in the interval [1, n] which are relatively prime to n. The function ϕ is called the **Euler phi** function.



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Properties of Euler phi function

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- 1 The Euler phi function is multiplicative. That is, if gcd(m, n) = 1, then

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If $n = p_1^{e_1} p_2^{e_2} \cdots p_k^{e_k}$, is the prime factorization of n, then

$$\phi(n) = n \left(1 - \frac{1}{p_1}\right) \left(1 - \frac{1}{p_2}\right) \cdots \left(1 - \frac{1}{p_k}\right).$$

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Chinese Remainder Theorem

If the integers n_1, n_2, \dots, n_k are pairwise relatively prime, then the system of simultaneous congruences

$$x \equiv a_i \mod n_i$$

for $1 \le i \le k$ has a! solution modulo $n = n_1 n_2 \cdots n_k$ which is given by

$$x = \sum_{i=1}^{k} a_i N_i M_i \bmod n,$$

where $N_i = n/n_i \& M_i = N_i^{-1} \mod n_i$.



Repeated Square Algorithm for Integers in \mathbb{Z}_n

```
Algorithm
Input: b, m, n
Output: b^m \mod n
P \leftarrow 1
if m=0 then
     return P
end
while m \neq 0 do
      if m is odd then
           P \leftarrow P.b \mod n
     end
     m \leftarrow \lfloor \frac{m}{2} \rfloor
     b \leftarrow b^2 \mod n
end
```

Return: P

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.



Properties of generators of \mathbb{Z}_n^*

① \mathbb{Z}_n^* has a generator iff $n = 2, 4, p^k$ or $2p^k$, where p is an odd prime and $k \ge 1$.



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- •• $\alpha \in \mathbb{Z}_n^*$ is a generator of \mathbb{Z}_n^* iff $\alpha^{\phi(n)/p} \not\equiv 1 \mod n$ for each prime divisor p of $\phi(n)$.



Probabilistic Algorithm

Definition

A probabilistic algorithm is an algorithm that uses random numbers.

A probabilistic algorithm for a decision problem is called **yes-biased Monte Carlo** algorithm if the answer YES is always correct, but a NO answer may be incorrect.

We say that the algorithm has error probability ϵ if the probability that the algorithm will answer NO when the answer is actually YES is ϵ .





Probabilistic Algorithm

```
Pseudo-prime Test
Input: n
Output: YES if n is composite, NO otherwise.
Choose a random b, 0 < b < n
if gcd(b, n) > 1 then
   return YES
end
else
if b^{n-1} \not\equiv 1 \mod n then
   return YES
end
else:
```

return NO

Probabilistic Algorithm

```
Miller-Rabin Test
Input: an odd integer n \ge 3 and security parameter t \ge 1.
Output: an answer "prime" or "composite" to the question: "Is n prime?"
Write n-1=2^s r s/t r is odd
for i = 1 to t do
     Choose a random integer a s/t 2 \le a \le n-2.
     Compute y \equiv a^r \mod n
     if y \neq 1 \& y \neq n-1 then
          i \leftarrow 1.
          while j \le s - 1 \& y \ne n - 1 do
                Compute y \leftarrow y^2 \mod n.
                If y = 1 then return("composite").
                i \leftarrow i + 1.
          end
          If y \neq n-1 then return ("composite").
     end
end
```

Return("prime").

The AKS Algorithm

Input: a positive integer n > 1

Output: *n* is **Prime** or **Composite** in deterministic polynomial-time If $n = a^b$ with $a \in \mathbb{N}$ & b > 1, then output **COMPOSITE**.





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If $n = a^b$ with $a \in \mathbb{N}$ & b > 1, then output **COMPOSITE**.

Find the smallest r such that $ord_r(n) > 4(\log n)^2$.

If $1 < \gcd(a, n) < n$ for some $a \le r$, then output **COMPOSITE**.





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If $n \leq r$, then output **PRIME**.

for
$$a = 1$$
 to $\lfloor 2\sqrt{\phi(r)} \log n \rfloor$ do

if
$$(x-a)^n \not\equiv (x^n-a) \mod (x^r-1,n)$$
,

then output COMPOSITE.

end

Return("PRIME").



Primitive Root

Definition

The smallest positive integer e s/t

$$a^e \equiv 1 \mod m$$

is called exponent of a modulo m and is denoted by

$$e = exp_m(a)$$
.

If $exp_m(a) = \phi(m)$, then a is called **primitive root** mod m.



Some Facts About Primitive Roots

- Primitive roots exist only for the following moduli: $m = 1, 2, 4, p^{\alpha} \& 2p^{\alpha}$, where p is an odd prime $\alpha \ge 1$.
- If a is a generator of \mathbb{Z}_m^* , then $\mathbb{Z}_m^* = \{a^i \mod m : 0 \le i \le \phi(m) 1\}$
- Suppose that a is a generator of \mathbb{Z}_m^* . Then $b=a^i \mod m$ is also a generator of \mathbb{Z}_m^* iff $\gcd(i,\phi(m))=1$. It follows that if \mathbb{Z}_m^* is cyclic, then the number of generators is $\phi(\phi(m))$.
- a is a generator of \mathbb{Z}_m^* iff $a^{\phi(m)/p} \not\equiv 1 \mod m$ for each prime divisor p of $\phi(m)$.



The End

Thanks a lot for your attention!

